

# Recent Developments in Vincia & Pythia

Peter Skands — U of Oxford & Monash U.



1. Perturbative Uncertainties (in Showers)
2. Sector Showers & NNLO Matching
3. EW Showers and Resonance Decays
4. From Showers to Jets: Colour Confusion

*... including some questions for discussion ...*

*Note: see talk by Silvia (Monday) for  $N^{(n)}$ LL showers (PanScales, Alaric, etc)*



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# 1 Perturbative Uncertainties in Showers



## Standard for Shower Uncertainties: Renormalization-scale variations

Example: PYTHIA's DGLAP-based shower

$$|M_{n+1}|^2 \sim \sum_{i \in \text{partons}} \underbrace{\frac{\alpha_s^{\text{MC}}(\mu_i^2)}{4\pi}}_{\mu_i^2 \propto p_{\perp i}^2} \underbrace{\mathcal{C}_i}_{\substack{2C_F \text{ for quark,} \\ C_A \text{ for gluon}}} \underbrace{\left( \frac{P_i(z)}{Q_i^2} \right)}_{\substack{\text{DGLAP Splitting Kernel} \\ \text{(Or dipole/antenna/...)}}} |M_n|^2 \underbrace{\Delta_n(t_n, t_{n+1})}_{\substack{\text{Sudakov factor} \\ t \text{ is the shower evolution/} \\ \text{ordering variable}}}$$

Varying  $\mu_i$  only induces terms **proportional to the shower splitting kernels**

Actual higher-order MEs **also have:**

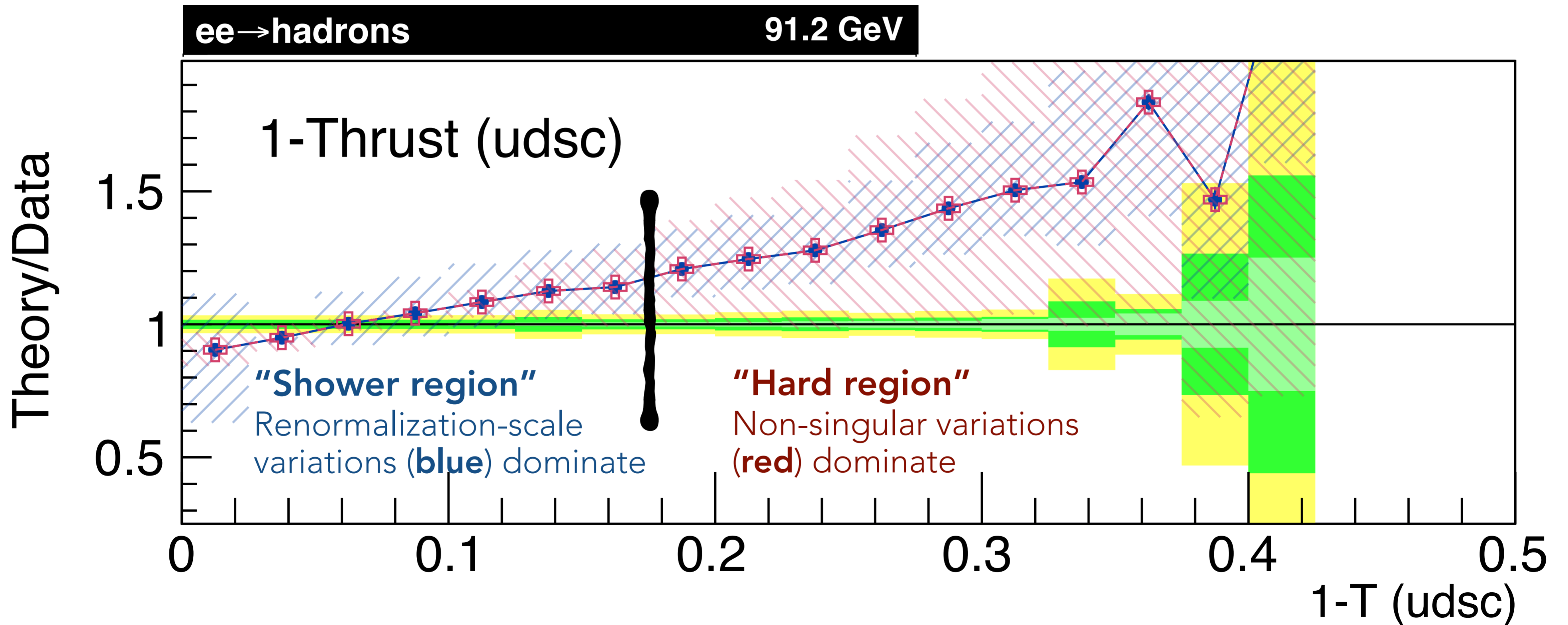
- Non-singular terms** (dominate far from singular limits),
- Non-trivial colour factors** outside collinear limits,
- Higher-order log terms** not captured exactly by  $\Delta_n(t_n, t_{n+1})$

Vary  $\mu_R$  and these  
 [Hartgring, Laenen, PS  
 JHEP 10 (2013) 127]

# Non-Singular Variations: Example

Example from Mrenna & PS, "Automated Parton-Shower Variations in Pythia 8", *PRD* 94 (2016) 7

Can vary **renormalisation-scale** and **non-singular terms** independently

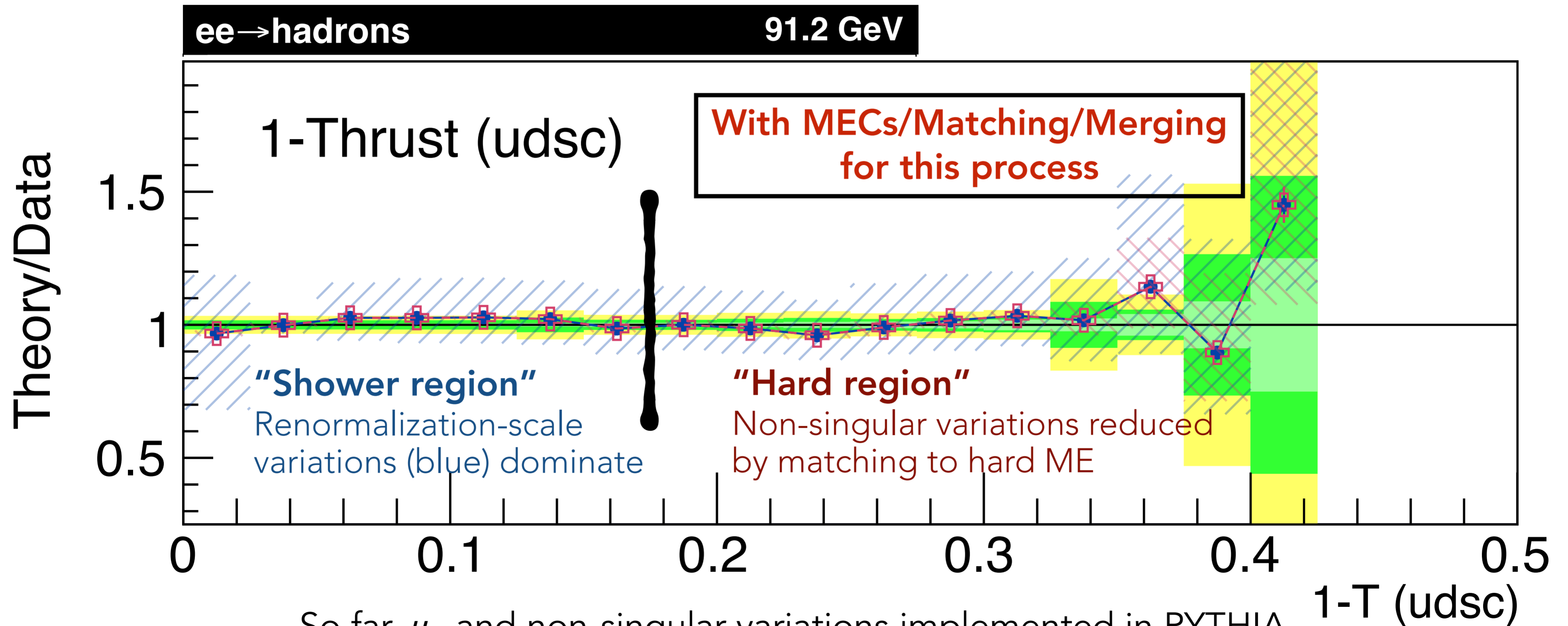


Note: ME corrections were switched off for illustration here. Would reduce **red** band, but not **blue**.

# (Non-Singular Variations: Effect of Matching to Matrix Elements)

Example from Mrenna & PS, "Automated Parton-Shower Variations in Pythia 8", *PRD* 94 (2016) 7

Can vary **renormalisation-scale** and **non-singular terms** independently

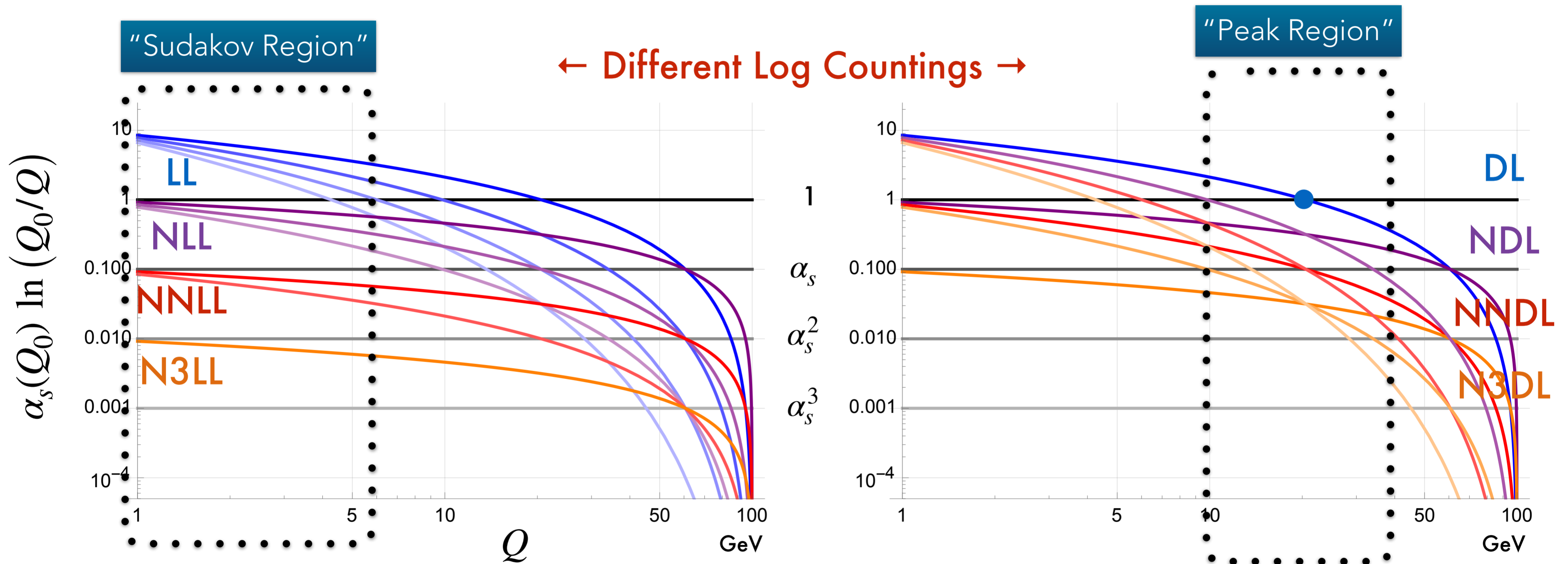


So far,  $\mu_R$  and non-singular variations implemented in PYTHIA

Being re-implemented in VINCIA. Plan to add colour and Sudakov variations as well.

(Uncertainties: note on the size of uncontrolled log terms)

## Schematic Example: starting scale $Q_0 = 100$ GeV



Conventional ("Caesar-style") log counting

Based on  $\alpha_s L \sim 1$

Exponentiated "double-log" counting

Based on  $\alpha_s L^2 \sim 1$

## ② Sector Showers in VINCIA

PS & Villarejo [JHEP 11 \(2011\) 150](#)

Brooks, Preuss, PS [JHEP 07 \(2020\) 032](#)

### VINCIA's shower is unique in being a "Sector Shower"

Partition N-gluon Phase Space into N "sectors" (using step functions).

Each sector corresponds to one specific gluon being the "softest" in the event — the one you would cluster if you were running a jet algorithm (ARCLUS)

Inside each sector, **only a single kernel is allowed to contribute** (the most singular one)!

**Sector Kernel** = the eikonal for the soft gluon and its collinear DGLAP limits for  $z > 0.5$ .

→ Unique properties: shower operator becomes **bijective** and is a true **Markov chain**

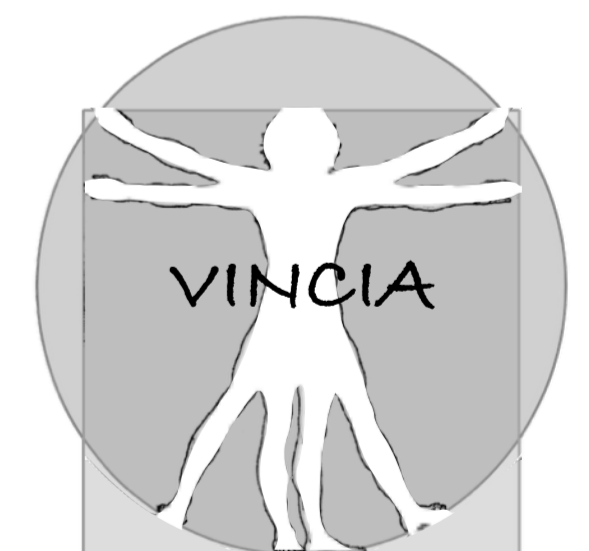
### The crucial aspect:

**Only a single history contributes to each phase-space point !**

⇒ **Factorial growth of number of histories reduced to constant!**

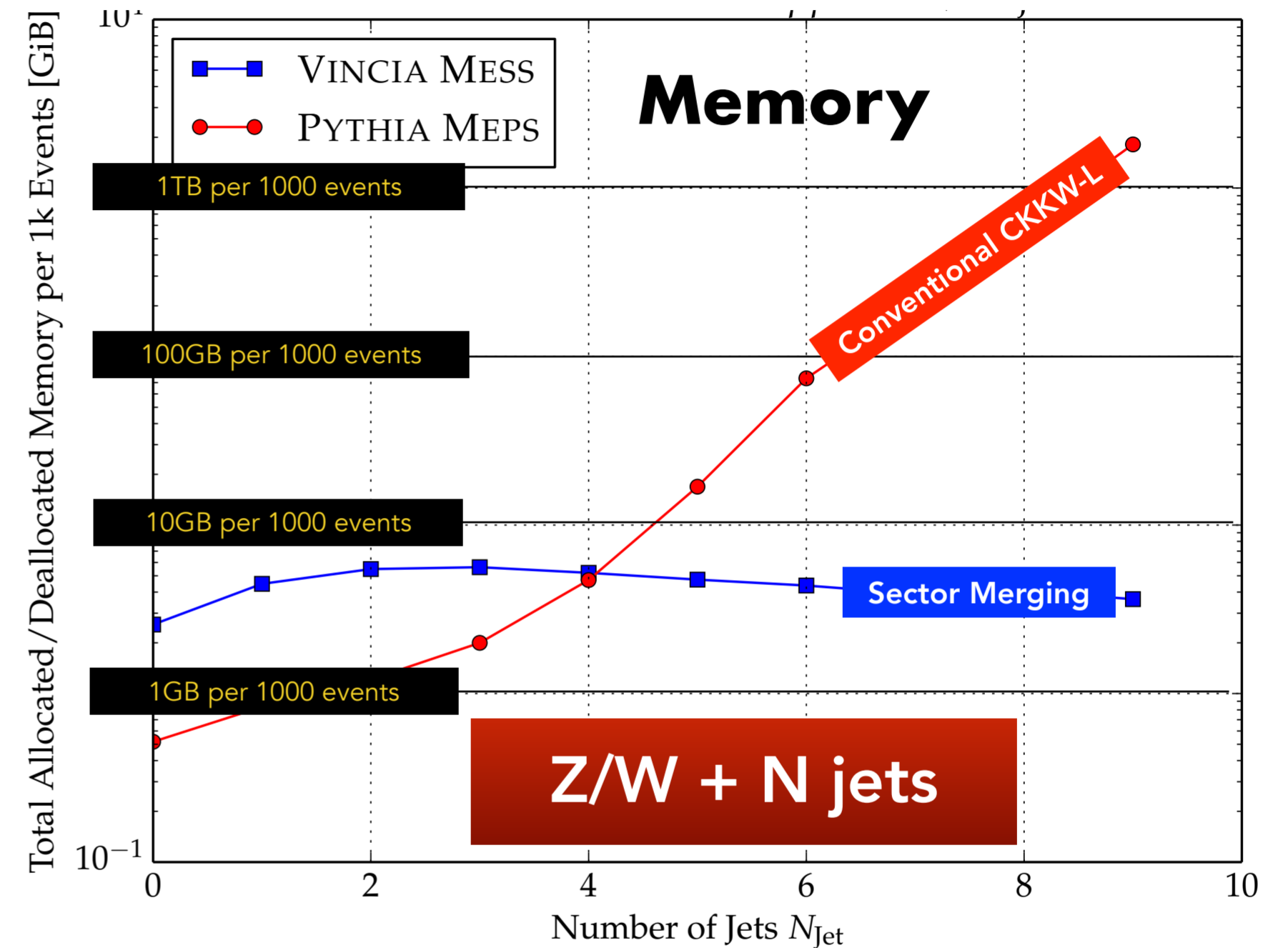
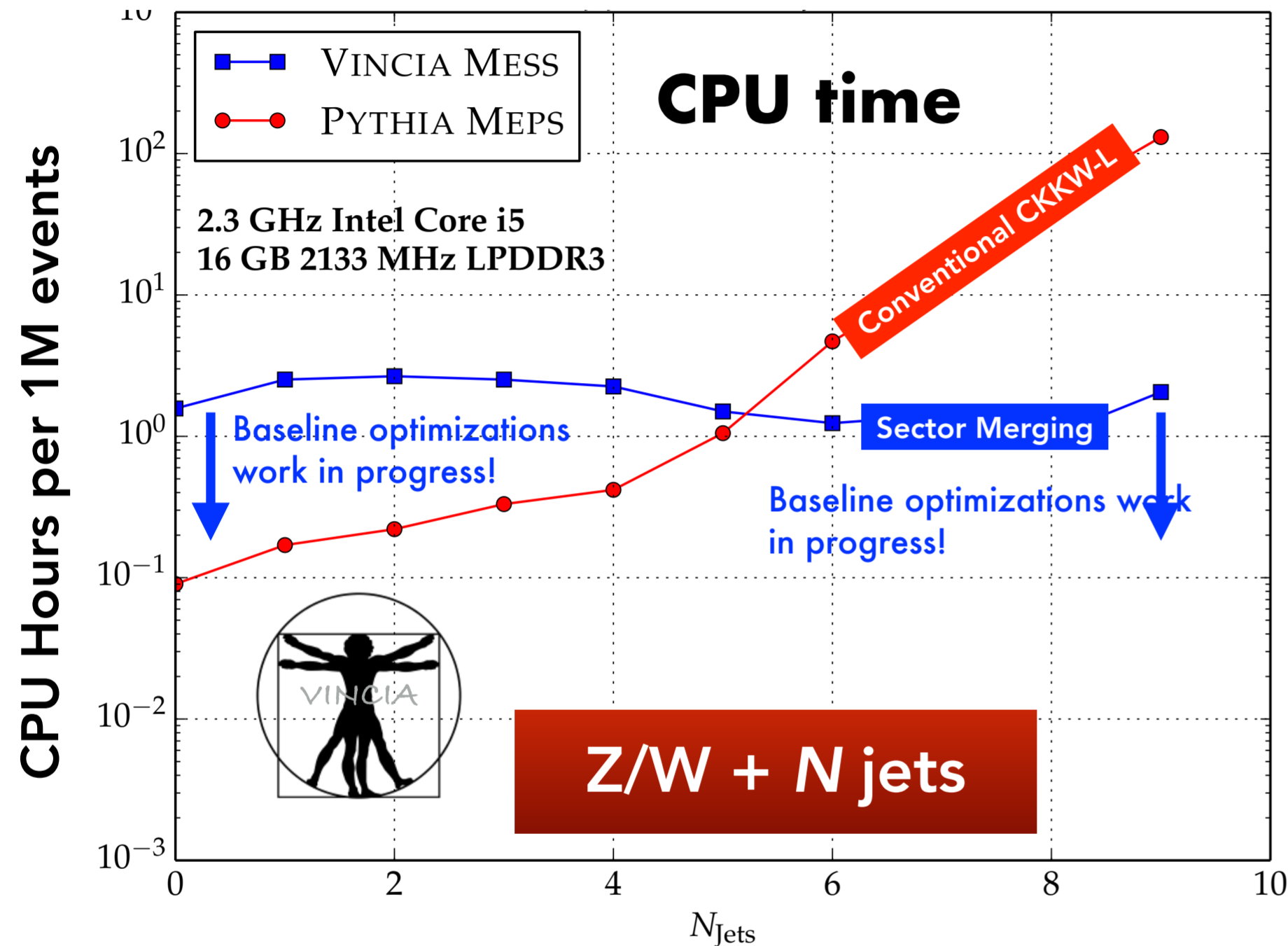
(And the number of sectors only grows linearly with the number of gluons)

( $g \rightarrow q\bar{q} \rightarrow$  leftover factorial in number of *same-flavour* quarks; not a big problem)



# Sectorized CKKW-L Merging publicly available from Pythia 8.306

Brooks & Preuss (2021) "Efficient multi-jet merging with the VINCIA sector shower"



## Extensions now pursued:

Sectorized **matching at NNLO** (proof of concepts in [arXiv:2108.07133](https://arxiv.org/abs/2108.07133) & [arXiv:2310.18671](https://arxiv.org/abs/2310.18671))

Sectorized **iterated tree-level ME corrections** (demonstrated in PS & Villarejo [arXiv:1109.3608](https://arxiv.org/abs/1109.3608))

Sectorized **multi-leg merging at NLO** (active research grants, with **C. Preuss, Wuppertal**)

# Sectorized Matching at NNLO (in VINCIA)

**Idea: harness the power of showers as efficient phase-space generators**

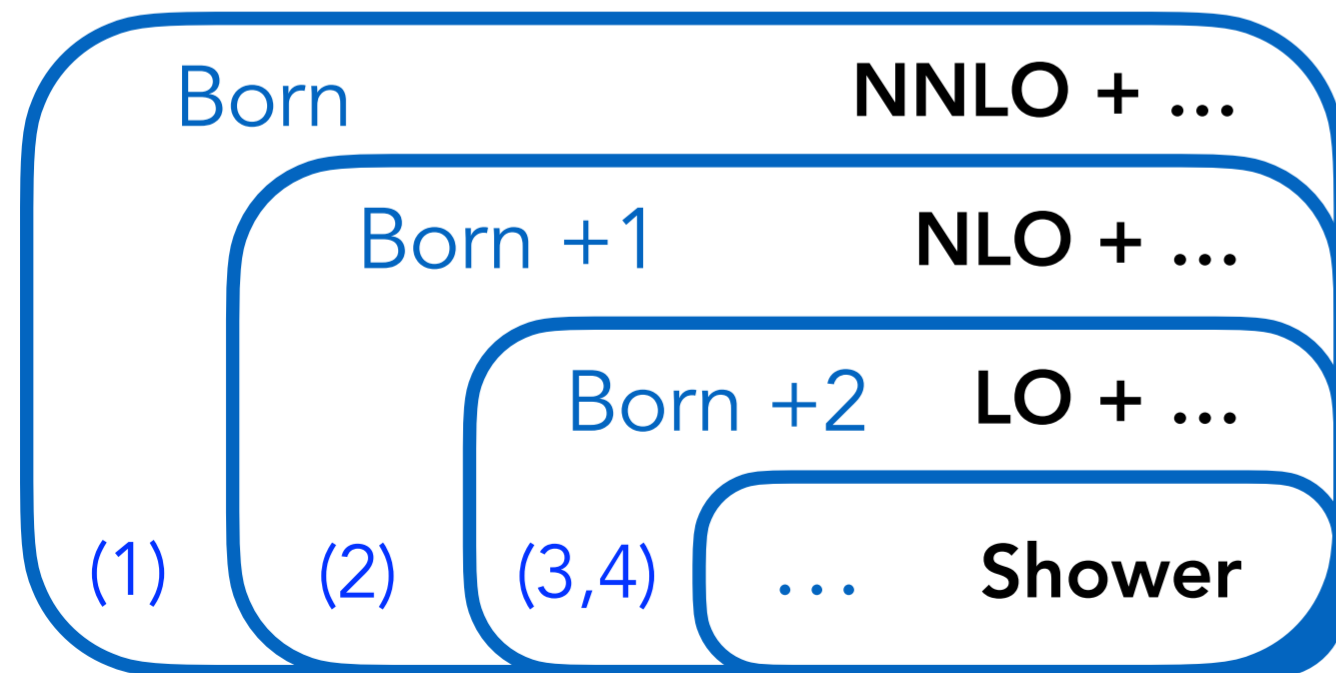
a.k.a. **"ME Corrections"** Sjöstrand et al. (1986, 2001); Giele, Kosower, PS (2011); Lopez-Villarejo, PS (2011)

a.k.a. **"Forward-Branching"** PS generation Weinzierl, Kosower (1999); Draggiotis, v. Hameren, Kleiss (2000);  
Figy, Giele (2018)

**Conventional Fixed-Order phase-space generation** (eg VEGAS)



**Nested phase-space generation in a Shower Markov Chain**



**Need:**

- (1) Born-local NNLO  $K$ -factors:  $k_{\text{NNLO}}(\Phi_2)$
- (2) NLO MECs in the first  $2 \mapsto 3$  shower branching:  $w_{2 \mapsto 3}^{\text{NLO}}(\Phi_3)$
- (3) LO MECs for second (iterated)  $2 \mapsto 3$  shower branching:  $w_{3 \mapsto 4}^{\text{LO}}(\Phi_4)$
- (4) Direct  $2 \mapsto 4$  branchings for unordered sector with LO MECs:  $w_{2 \mapsto 4}^{\text{LO}}(\Phi_4)$

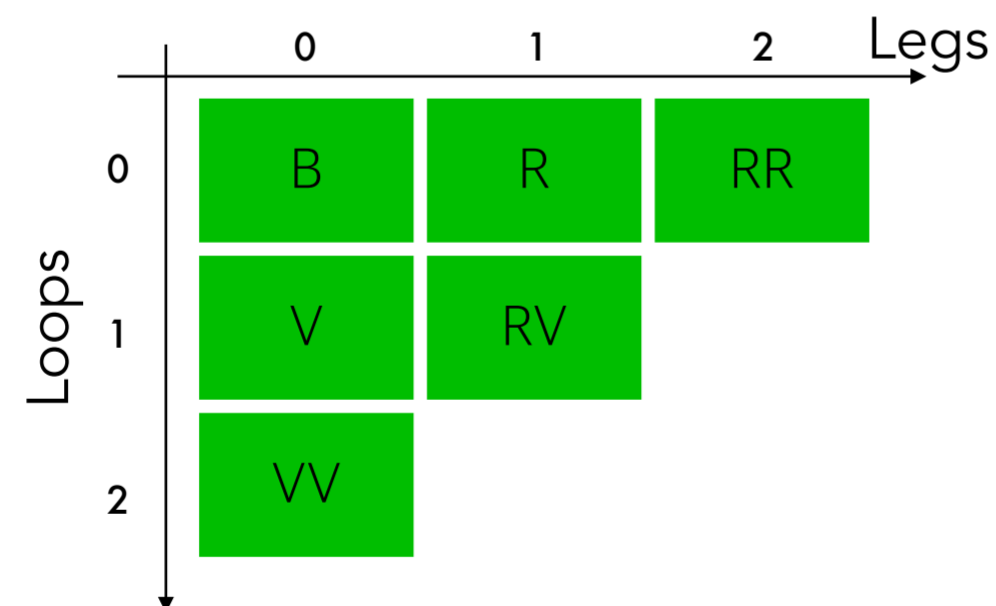


# ① Weight each Born-level event by local $K$ -factor

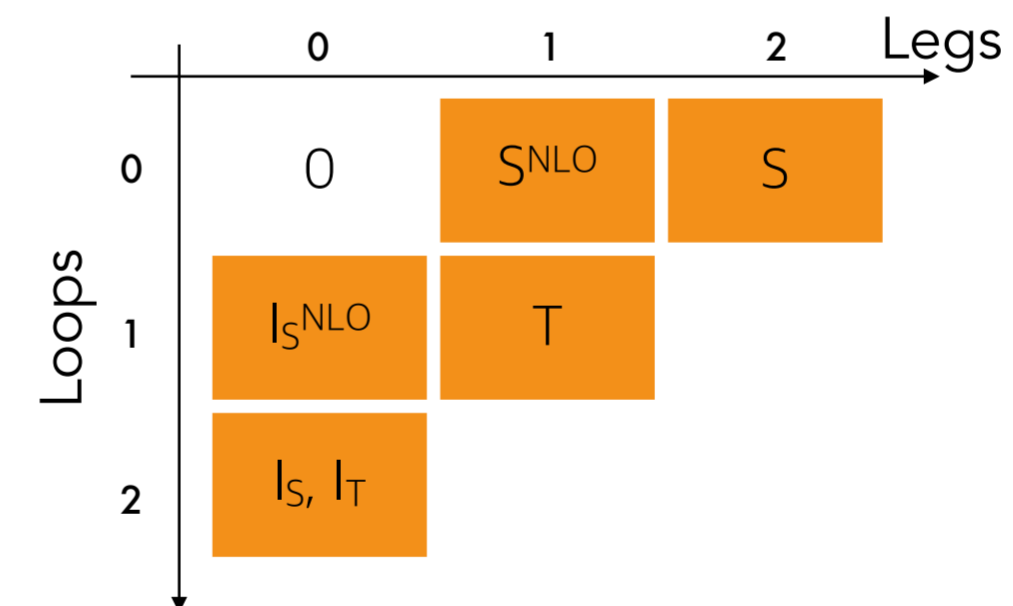
Campbell, Hoeche, Li, Preuss, PS (2023)

$$\begin{aligned}
 k_{\text{NNLO}}(\Phi_2) = & 1 + \frac{V(\Phi_2)}{B(\Phi_2)} + \frac{I_S^{\text{NLO}}(\Phi_2)}{B(\Phi_2)} + \frac{VV(\Phi_2)}{B(\Phi_2)} + \frac{I_T(\Phi_2)}{B(\Phi_2)} + \frac{I_S(\Phi_2)}{B(\Phi_2)} \\
 & + \int d\Phi_{+1} \left[ \frac{R(\Phi_2, \Phi_{+1})}{B(\Phi_2)} - \frac{S^{\text{NLO}}(\Phi_2, \Phi_{+1})}{B(\Phi_2)} + \frac{RV(\Phi_2, \Phi_{+1})}{B(\Phi_2)} - \frac{T(\Phi_2, \Phi_{+1})}{B(\Phi_2)} \right] \\
 & + \int d\Phi_{+2} \left[ \frac{RR(\Phi_2, \Phi_{+2})}{B(\Phi_2)} - \frac{S(\Phi_2, \Phi_{+2})}{B(\Phi_2)} \right]
 \end{aligned}$$

Fixed-Order Coefficients:



Subtraction Terms (not tied to shower formalism):



Note: **requires** "Born-local" NNLO subtraction terms. Currently only for simplest cases.

Interested in discussing & exploring connections with local subtraction schemes

# ②, ③, ④ Shower Markov chain with Second-Order Corrections

## Key aspect

up to matched order, include **process-specific NLO corrections** into shower evolution:

② correct first branching to exclusive ( $< t'$ ) NLO rate: [Hartgring, Laenen, PS (2013)]

Born  $\rightarrow$  Born + 1  
Sudakov Factor

$$\Delta_{2 \rightarrow 3}^{\text{NLO}}(t_0, t') = \exp \left\{ - \int_{t'}^{t_0} d\Phi_{+1} \underline{A_{2 \rightarrow 3}(\Phi_{+1})} w_{2 \rightarrow 3}^{\text{NLO}}(\Phi_2, \Phi_{+1}) \right\}$$

③ correct second branching to LO ME: [Giele, Kosower, PS (2011); Lopez-Villarejo, PS (2011)]

Born + 1  $\rightarrow$  Born + 2  
Sudakov Factor

$$\Delta_{3 \rightarrow 4}^{\text{LO}}(t', t) = \exp \left\{ - \int_t^{t'} d\Phi'_{+1} \underline{A_{3 \rightarrow 4}(\Phi'_{+1})} w_{3 \rightarrow 4}^{\text{LO}}(\Phi_3, \Phi'_{+1}) \right\}$$

④ add direct 2  $\mapsto$  4 branching and correct it to LO ME: [Li, PS (2017)]

Born  $\rightarrow$  Born + 2  
Sudakov Factor

$$\Delta_{2 \rightarrow 4}^{\text{LO}}(t_0, t) = \exp \left\{ - \int_t^{t_0} d\Phi_{+2} \underline{A_{2 \rightarrow 4}(\Phi_{+2})} w_{2 \rightarrow 4}^{\text{LO}}(\Phi_2, \Phi_{+2}) \right\}$$

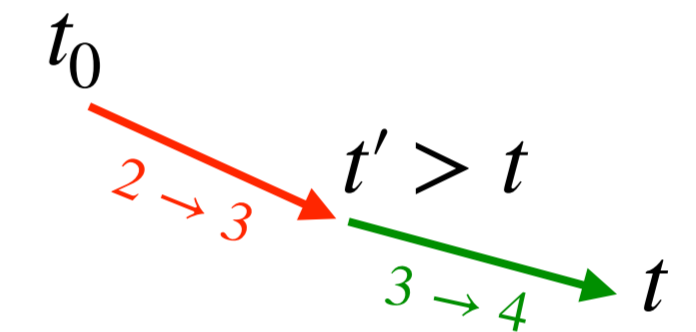
$\Rightarrow$  entirely based on **MECs** and **sectorisation**

$\Rightarrow$  **by construction**, expansion of extended shower **matches NNLO singularity structure**

**But** shower kernels **do not define NNLO subtraction terms**<sup>1</sup> (!)

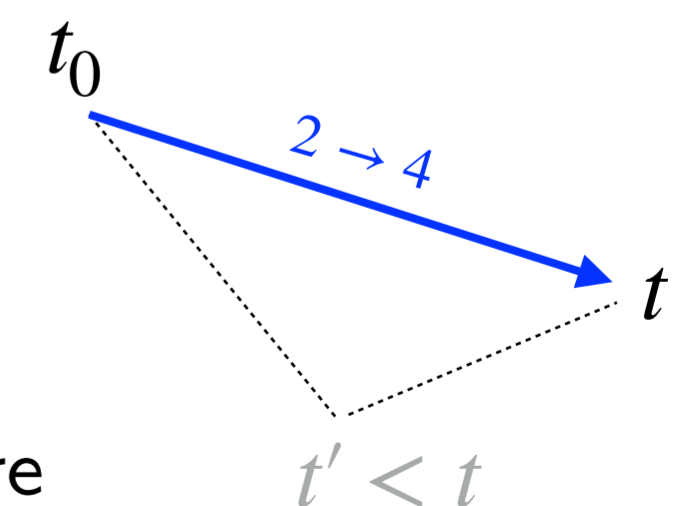


**Iterated:**  
(Ordered)



**Direct:**

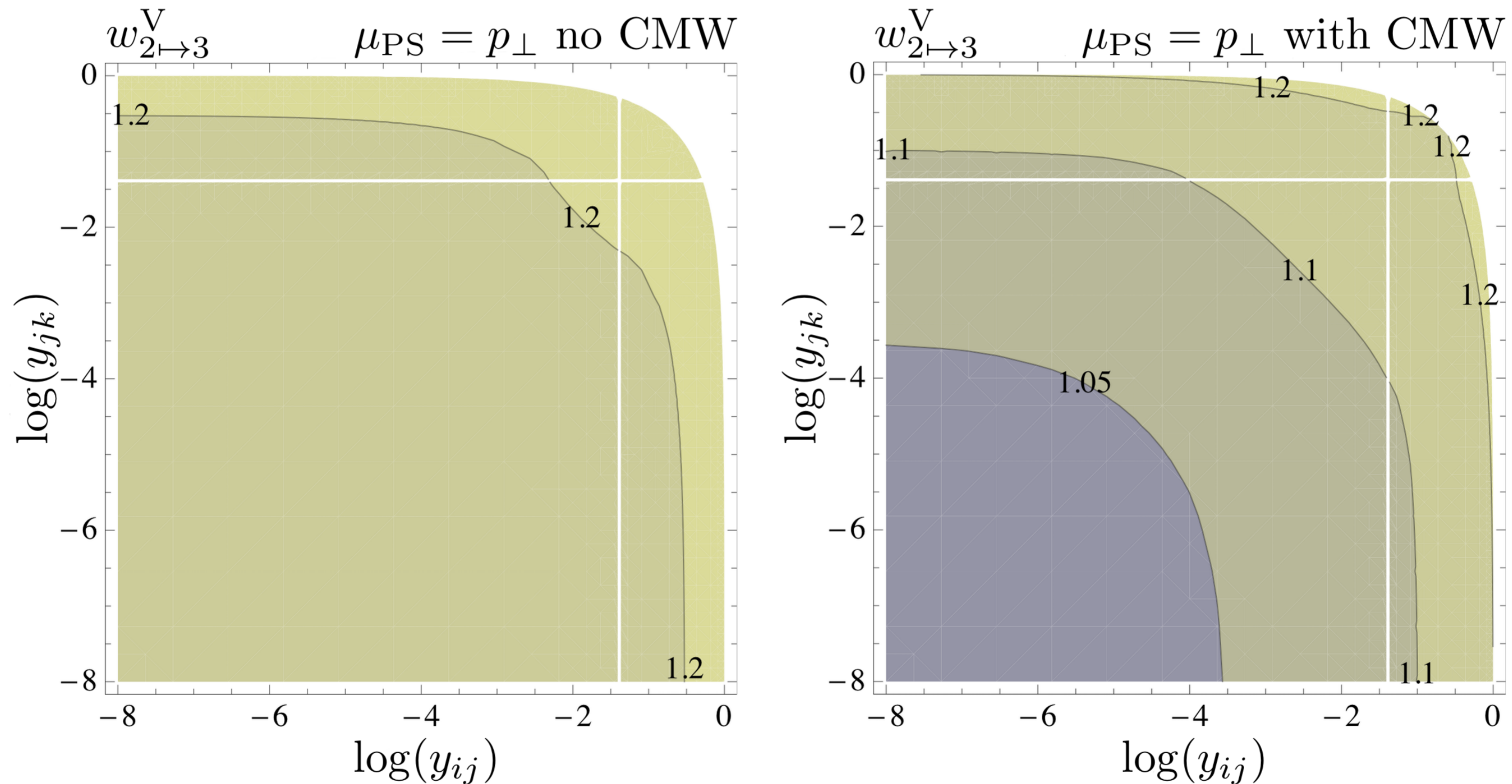
(Unordered)



# Size of the Real-Virtual Correction Factor (2)

$$\underline{w_{2\rightarrow 3}^{\text{NLO}}} = w_{2\rightarrow 3}^{\text{LO}} (1 + w_{2\rightarrow 3}^{\text{V}})$$

studied **analytically** in detail for  $Z \rightarrow q\bar{q}$  in [Hartgring, Laenen, PS JHEP 10 \(2013\) 127](#)

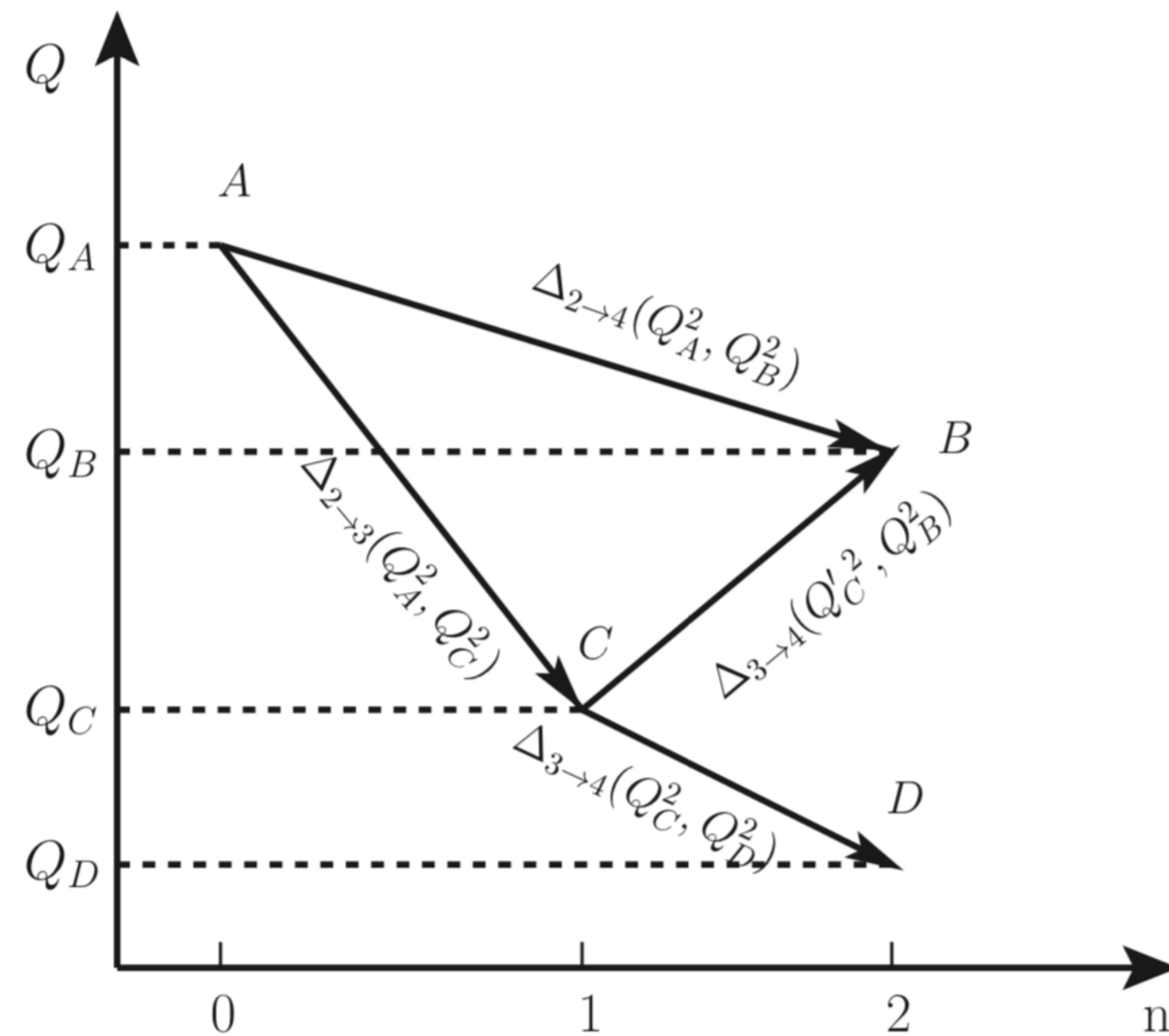


$\Rightarrow$  now: **generalisation & (semi-)automation** in VINCIA in form of NLO MECs

# (Combining iterated $n \rightarrow n + 1$ and direct $n \rightarrow n + 2$ branchings)

*A priori*, direct  $2 \mapsto 4$  and iterated  $2 \mapsto 3$  branchings **overlap in ordered** region.

In **sector showers**, iterated  $2 \mapsto 3$  branchings are **always strictly ordered**.



Divide double-emission phase space into **strongly-ordered** and **unordered** region:

[Li, Skands 1611.00013]

$$d\Phi_{+2} = \underbrace{d\Phi_{+2}^>}_{\text{u.o.}} + \underbrace{d\Phi_{+2}^<}_{\text{s.o.}}$$

$d\Phi_{+2}^<$ : **single-unresolved** limits  $\Rightarrow$  iterated  $2 \mapsto 3$

$d\Phi_{+2}^>$ : **double-unresolved** limits  $\Rightarrow$  direct  $2 \mapsto 4$

Restriction on double-branching phase space enforced by additional veto:

$$d\Phi_{+2}^> = \sum_j \theta \left( p_{\perp,+2}^2 - \hat{p}_{\perp,+1}^2 \right) \Theta_{ijk}^{\text{sct}} d\Phi_{+2}$$

# Preview: VINCIA NNLO+PS for $H \rightarrow b\bar{b}$

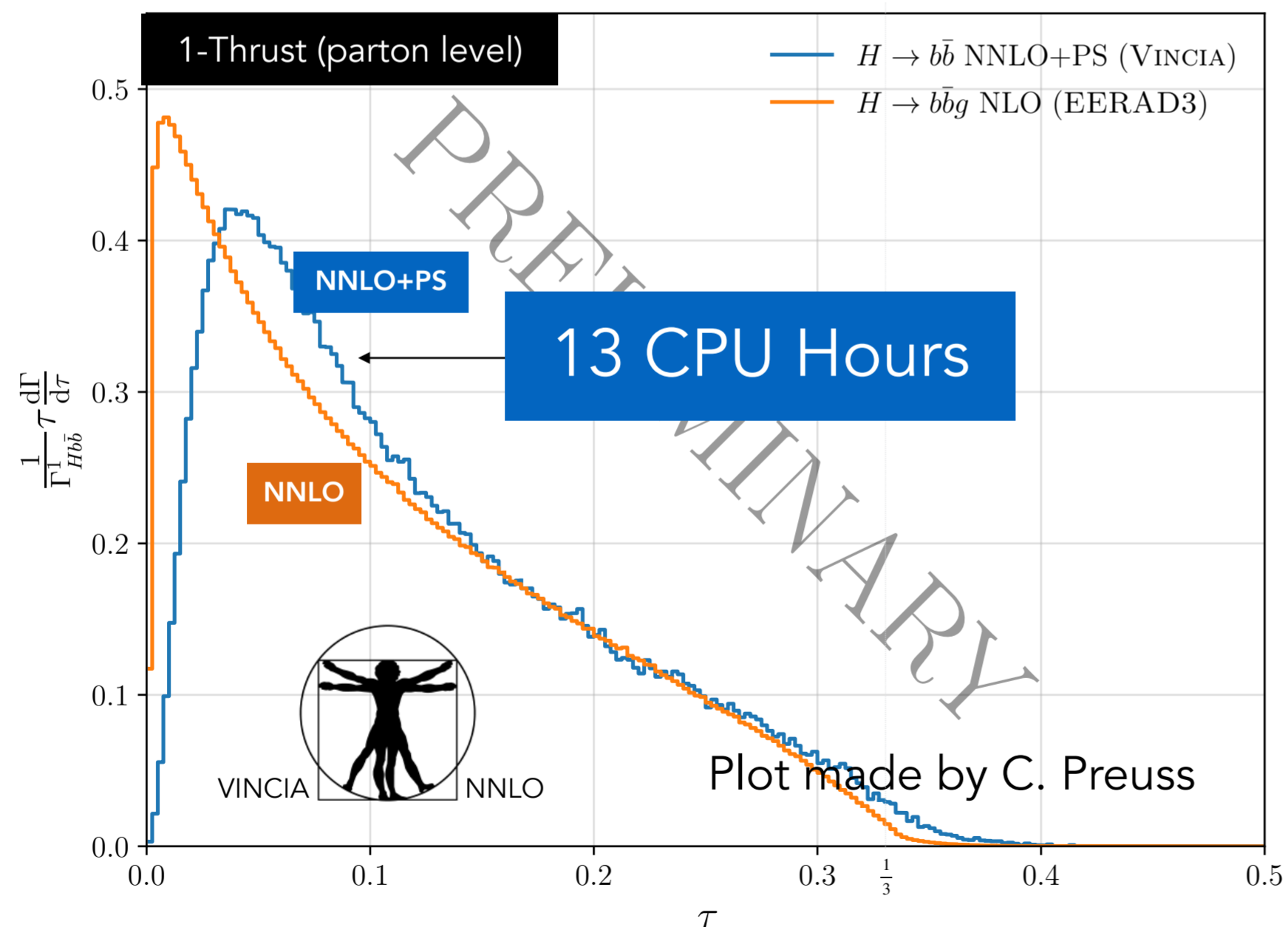
[Coloretti, Gehrmann-de Ridder, Preuss, JHEP 06 \(2022\) 009](#)

## Fixed-Order Reference = EERAD3 NLO $H \rightarrow b\bar{b}g$ : already quite optimised

Uses analytical MEs, “folds” phase space to cancel azimuthally antipodal points, and uses antenna subtraction ( $\rightarrow$  smaller # of NLO subtraction terms than Catani-Seymour or FKS).

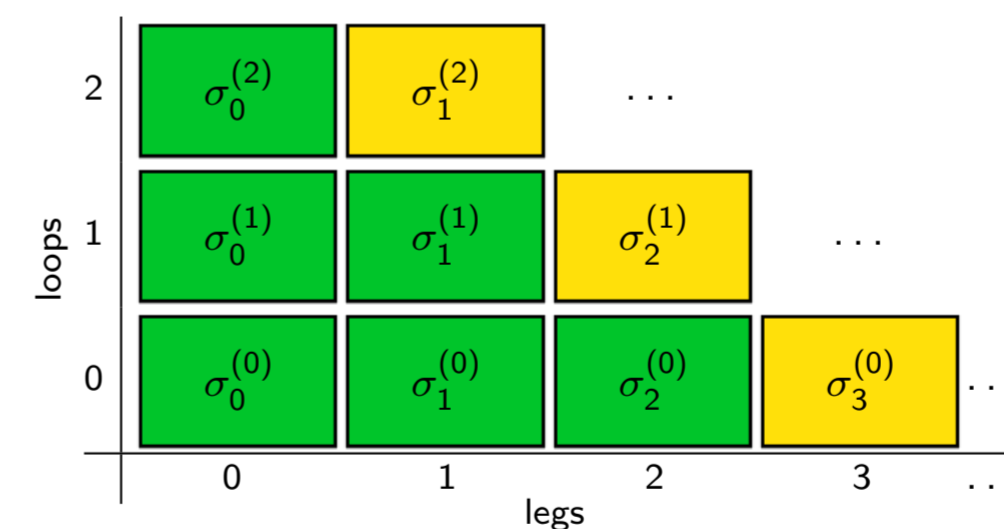
## VINCIA NNLO+PS: shower as phase-space generator: efficient & no negative weights

► Looks ~ 5 x faster than EERAD3 (for similar unweighted stats) + is matched to shower  $\implies$  includes resummation; can calculate any IR safe observable; can be hadronised  $\rightarrow$  IR sensitive observables, etc.



Note:

NNLO accuracy in  $H \rightarrow 2j$  implies NLO correction in first emission and LO correction in second emission.



So for Thrust,  
NNLO  $H \rightarrow b\bar{b}$   
is effectively  
NLO for  $\tau < 1/3$   
LO for  $\tau > 1/3$

**Proof of concepts done for H & Z  $\rightarrow$  2**

Work remains to extend to pp, ep, and ee  $\rightarrow n \geq 3$   
(& on marrying this formalism with N<sup>n</sup>LL accuracy)

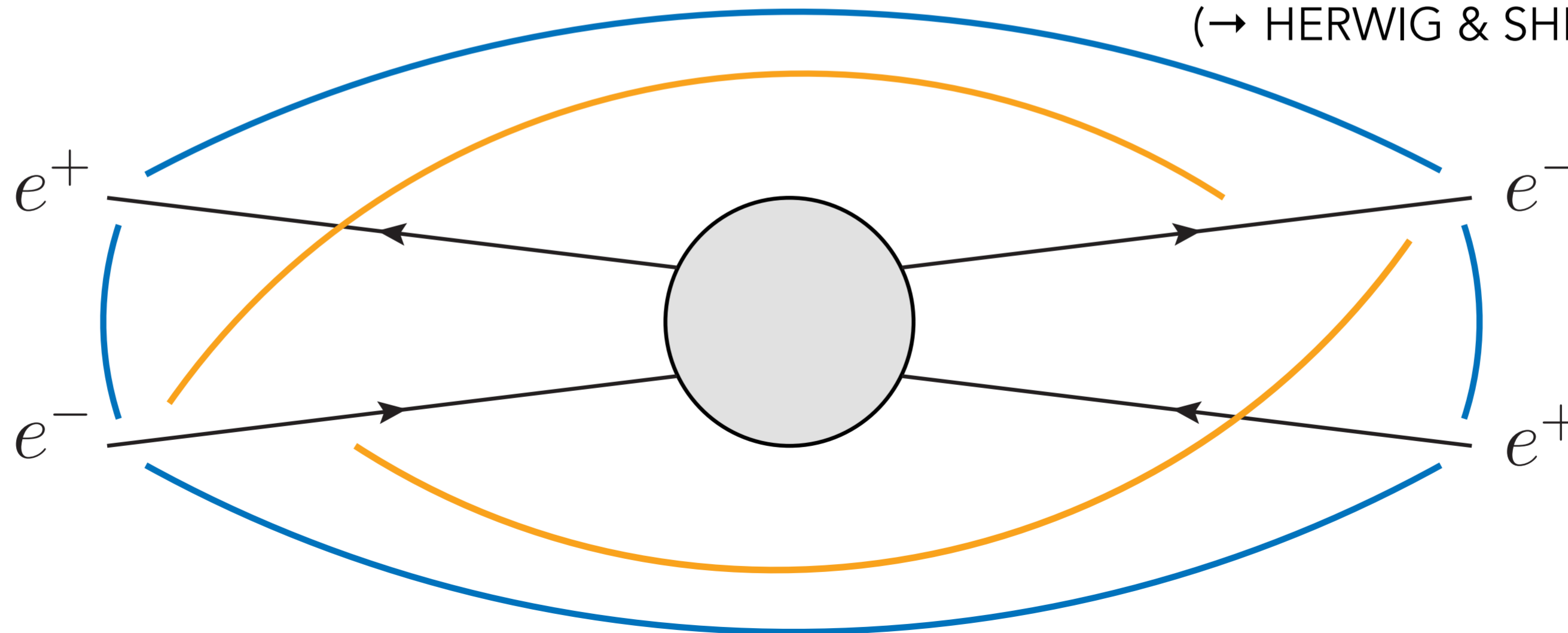
### ③ Electroweak Radiation in VINCIA

#### Main component: soft photon emission

[Dittmaier, 2000] 
$$|M_{n+1}(\{p\}, p_j)|^2 = -8\pi\alpha \sum_{x,y} \sigma_x Q_x \sigma_y Q_y \frac{s_{xy}}{s_{xj} s_{yj}} |M_n(\{p\})|^2$$

#### Example: Quadrupole final state (4-fermion: $e^+e^+e^-e^-$ )

- Opposite-charge pairs  $\blacktriangleright$  positive terms
- Same-charge pairs  $\blacktriangleright$  negative terms  $\longleftarrow$  **Not well suited for showers**  
( $\rightarrow$  HERWIG & SHERPA use YFS)

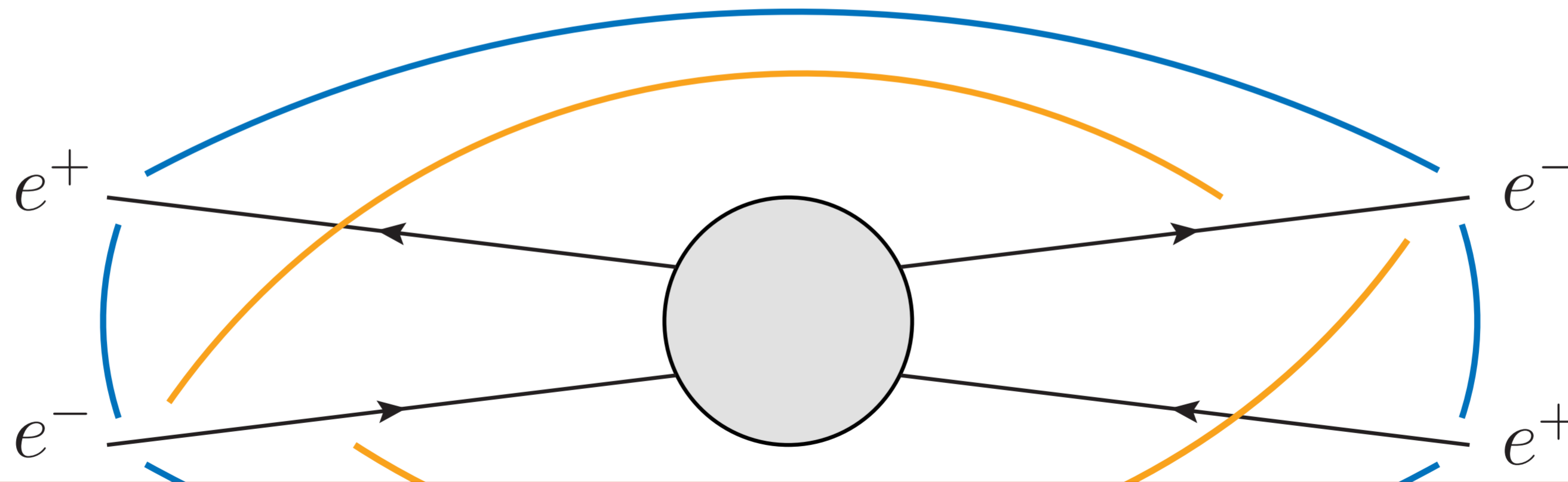


# QED Multipole Showers in VINCIA

**Sectorize QED phase space:** for each possible photon emission kinematics  $p_\gamma$ , find the 2 charged particles with respect to which that photon is softest ➤ “Dipole Sector”

**Use dipole-antenna *kinematics*** for that sector, but sum **all** the positive and negative antenna terms (w spin dependence) to find **coherent emission *probability*  $> 0$**

⇒ QED shower with **full soft multipole coherence** **and** **DGLAP collinear limits**  
**and no negative weights** [[Kleiss & Verheyen \(2017\)](#); [PS & Verheyen \(2020\)](#)]



Available in PYTHIA 8; directly applicable also to  $e^+e^- \rightarrow Z/\gamma^* \rightarrow f\bar{f}$  and  $e^+e^- \rightarrow W^+W^- \rightarrow 4f$   
Also accounts for **initial-final interference** via **interleaved resonance decays**; discussed later

# Example of QED multipole interferences

## High-mass Drell-Yan

$$u\bar{u} \rightarrow Z/\gamma^* \rightarrow e^+e^-$$

$$m_{ee}^2 > 1 \text{ TeV}, p_{\perp,e} > 25 \text{ GeV and } |\eta_e| < 3.5$$

$$p_{\perp,\gamma} > 0.5 \text{ GeV and } |\eta_\gamma| < 3.5$$

## PYTHIA

Factorizes  $u\bar{u}$  and  $e^+e^-$  radiation

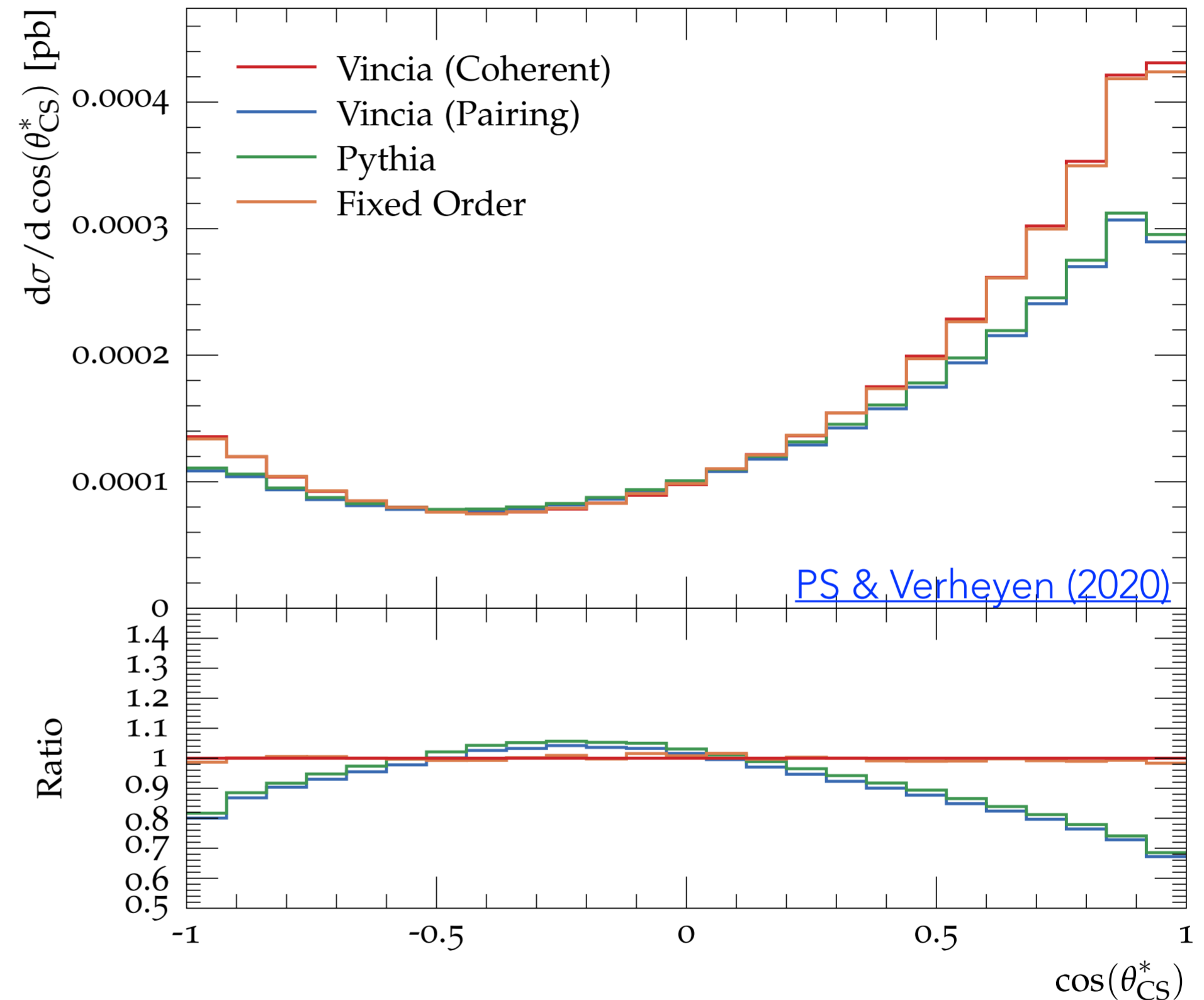
## VINCIA

1) **Coherent** = full multipole treatment

2) **Pairing** ~ PYTHIA: only consider "maximally screening" charge pairs; no genuine multipole effects

Next: QED matrix-element corrections & applications to QED corrections in B decays

$u\bar{u} \rightarrow Z/\gamma^* \rightarrow e^+e^- \gamma$  (Dressed, no QCD,  $p_{\perp,\gamma} < 5 \text{ GeV}$ )



$$\cos \theta_{CS}^* = 2 \frac{p_{ee}^z}{|p_{ee}^z|} \frac{p_{e^+}^+ p_{e^-}^- - p_{e^+}^- p_{e^-}^+}{m_{ee} \sqrt{m_{ee}^2 + p_{\perp,ee}^2}},$$

Angle between the incoming quark and the outgoing electron in the Collins-Soper frame, using longitudinal boost of ee pair as stand-in for ambiguous quark direction



# Weak Showers

Real corrections: **EW gauge bosons, tops, Higgs part of jets**

Virtual corrections: **Universal incorporation of Sudakov logs**  $\frac{\alpha}{\pi} \ln^2(s/Q_{EW}^2)$

**Features of VINCIA's EW Shower** [Brooks, PS, Verheyen (2022)]

Chiral → **Helicity showers** Larkoski, Lopez-Villarejo, PS (2013);  
Fischer, Lifson, PS (2017)

EW-scale mass corrections & exact massive phase spaces

Longitudinal polarisations / Goldstone bosons

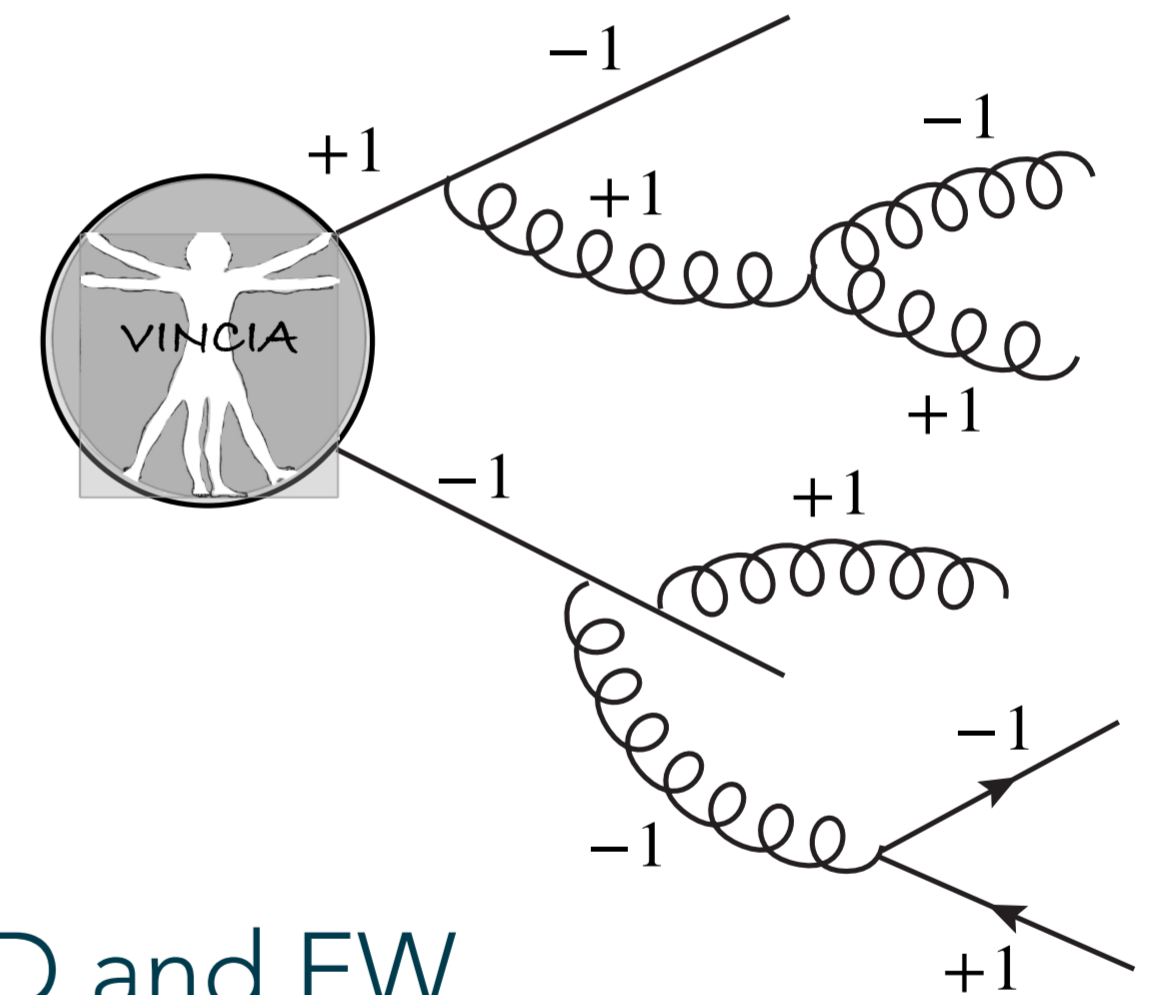
Treatment of neutral boson interference

Overlap vetos to eliminate double-counting between QCD and EW

Resonance-decay like branchings → **Interleaved Resonance Decays**

**Caveat:** Our EW antenna functions constructed from collinear limits (~DGLAP)

Soft multipole coherence so far only for pure QED, not full EW

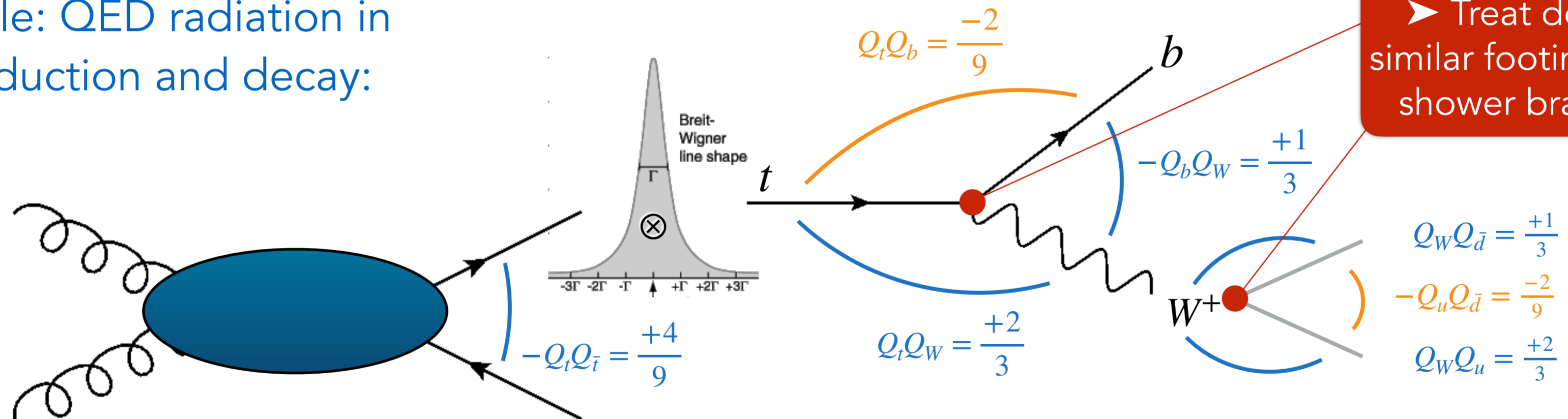


# Radiation in Decays

## Narrow-Width Limit $\Leftrightarrow$ Conventional “sequential” treatment

Treat each decay (sequentially) as if alone in the universe

Example: QED radiation in  $t\bar{t}$  production and decay:



## Beyond Narrow-Width Limit:

Expect interferences to become important for  $E_\gamma \lesssim \Gamma_t$  (and  $E_\gamma \lesssim \Gamma_W$ )

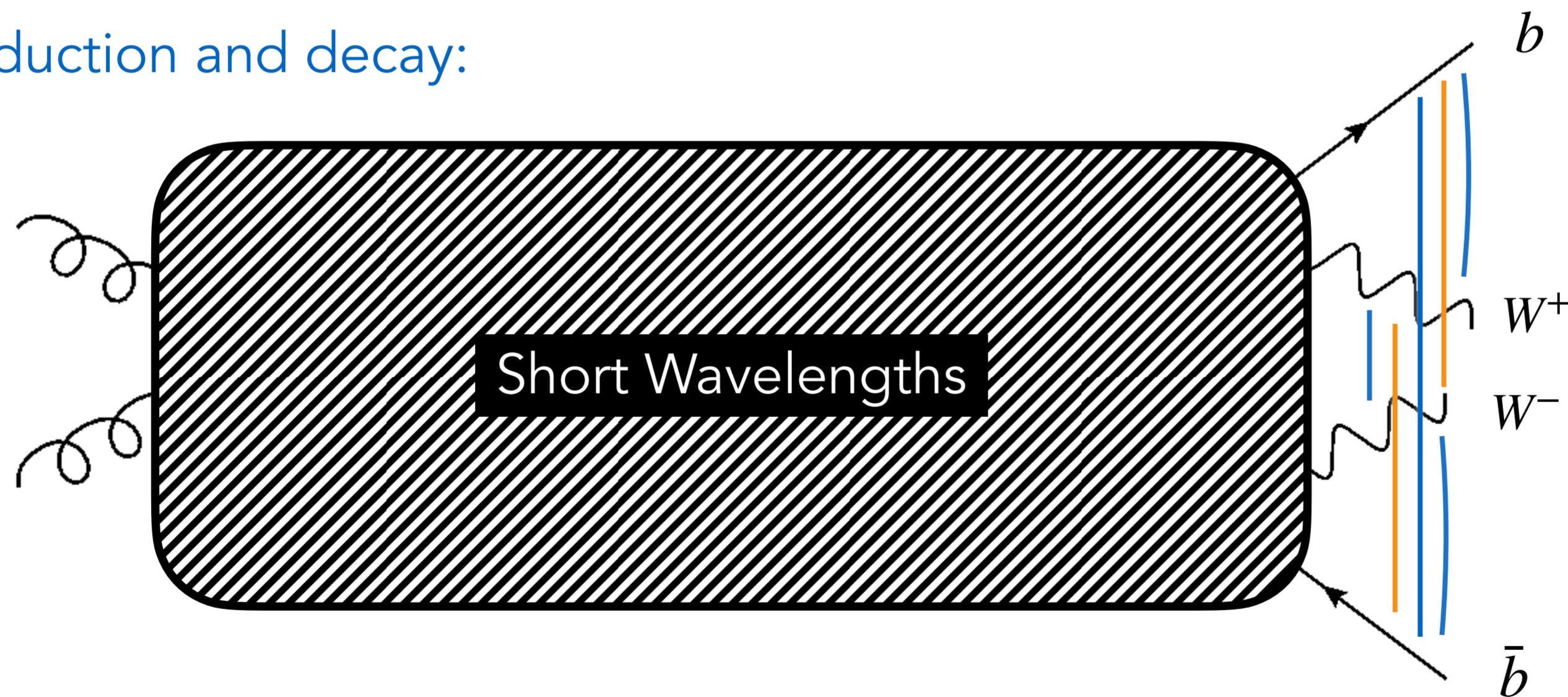
(Note: for charged resonances, VINCIA utilises unique coherent “**resonance-final**” antenna patterns with **global recoil** [Brooks, PS (2019)])

# Physics Motivation for Interleaved Resonance Decays

Long-wavelength radiation should **not** be able to resolve short-lived intermediate states

For **long wavelengths**  $\lambda \gtrsim (\hbar c)/\Gamma$  expect interferences (& recoils) *between* decays

Example: QED radiation in  $t\bar{t}$  production and decay:



Long Wavelengths

QED quadrupole:

$$-Q_b Q_{W^+} = \frac{+1}{3}$$

$$-Q_b Q_{W^-} = \frac{-1}{3}$$

$$-Q_b Q_{\bar{b}} = \frac{+1}{9}$$

$$-Q_{W^+} Q_{W^-} = +1$$

$$-Q_{W^+} Q_{\bar{b}} = \frac{-1}{3}$$

$$-Q_{W^-} Q_{\bar{b}} = \frac{+1}{3}$$

Affects radiation spectrum, for energies  $E_\gamma \lesssim \Gamma$

+ Interferences and recoils *between* systems => **non-local BW modifications**



# → Interleaved Resonance Decays (VINCIA)

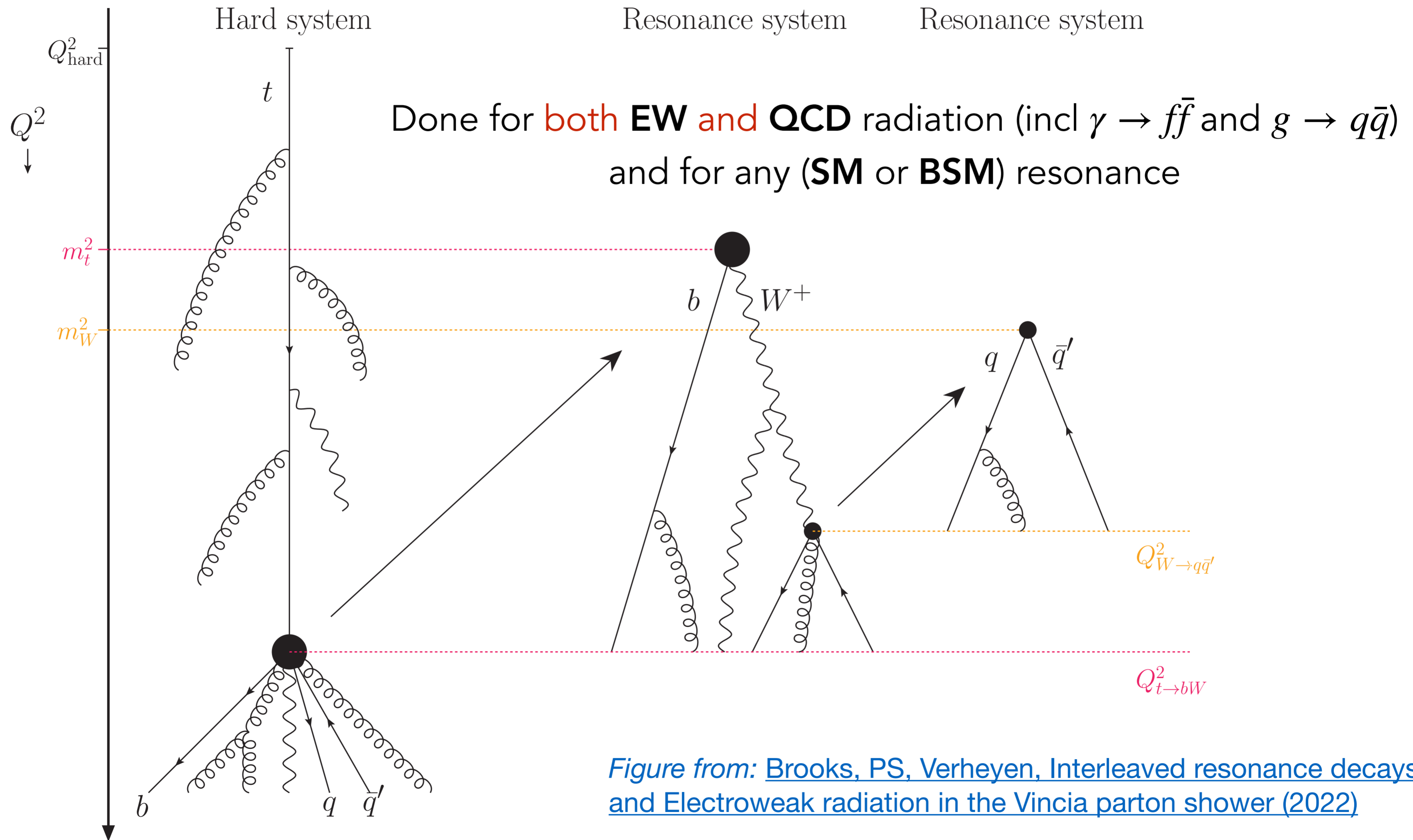


Figure from: [Brooks, PS, Verheyen, Interleaved resonance decays and Electroweak radiation in the Vincia parton shower \(2022\)](#)

## ④ After the Shower

### High-energy pp collisions — with ISR, Multi-Parton Interactions, and Beam Remnants

Final states with **very many** coloured partons

With significant overlaps in phase space

**Who gets confined with whom?**

Each has a colour ambiguity  $\sim 1/N_C^2 \sim 10\%$

E.g.: **random triplet** charge has 1/9 chance to be in **singlet** state with **random antitriplet**:

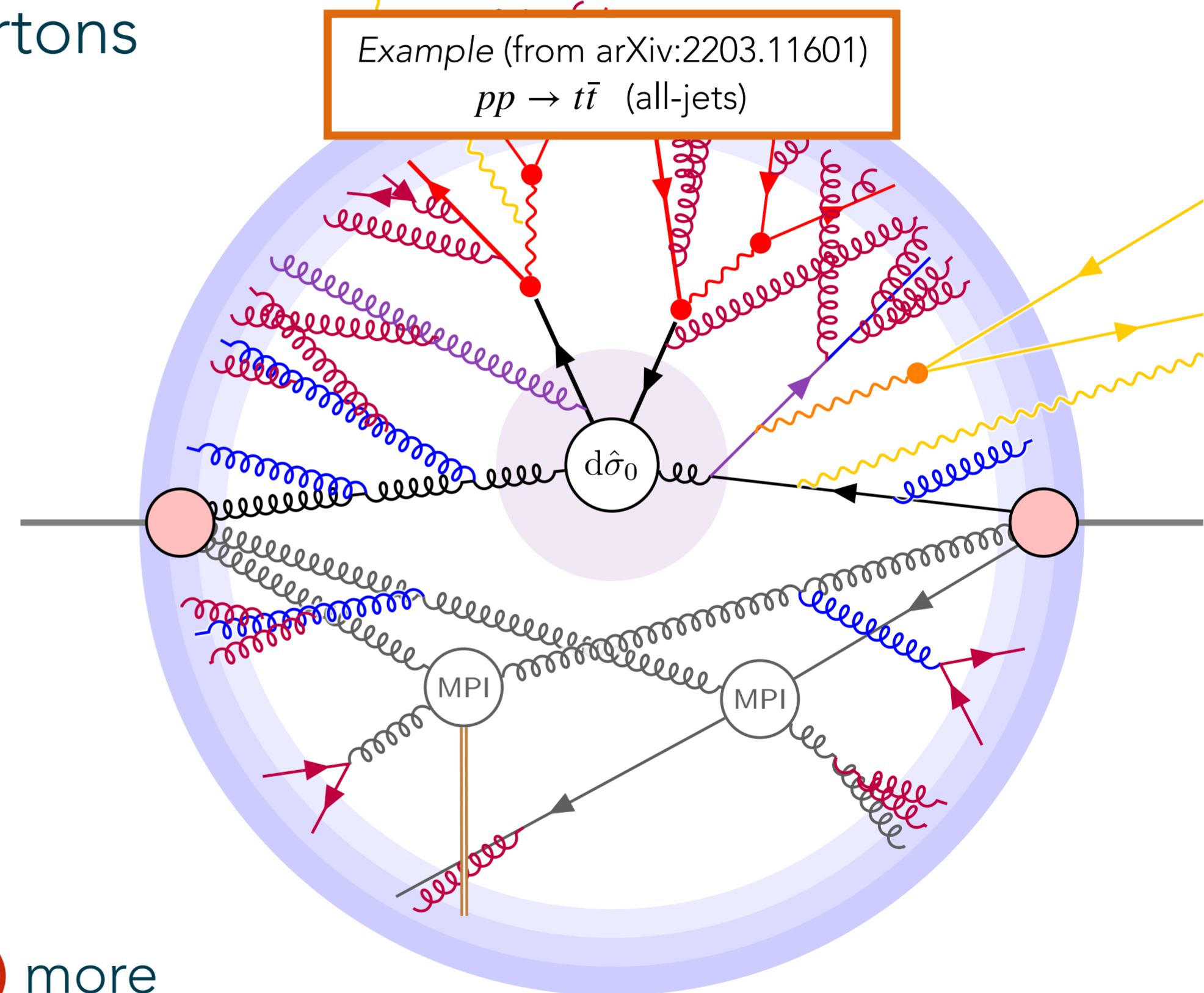
$$3 \otimes \bar{3} = 8 \oplus 1$$

$$3 \otimes 3 = 6 \oplus \bar{3} \quad ; \quad 3 \otimes 8 = 15 \oplus 6 \oplus 3$$

$$8 \otimes 8 = 27 \oplus 10 \oplus \bar{10} \oplus 8_S \oplus 8_A \oplus 1$$

**Many charges  $\rightarrow$  Colour Reconnections\* (CR)** more likely than not — “Colour Promiscuity!” [J. Huston]

\*) in this context, QCD CR simply refers to an ambiguity beyond Leading  $N_C$ , known to exist. Note the term “CR” can also be used more broadly to incorporate further physics concepts.

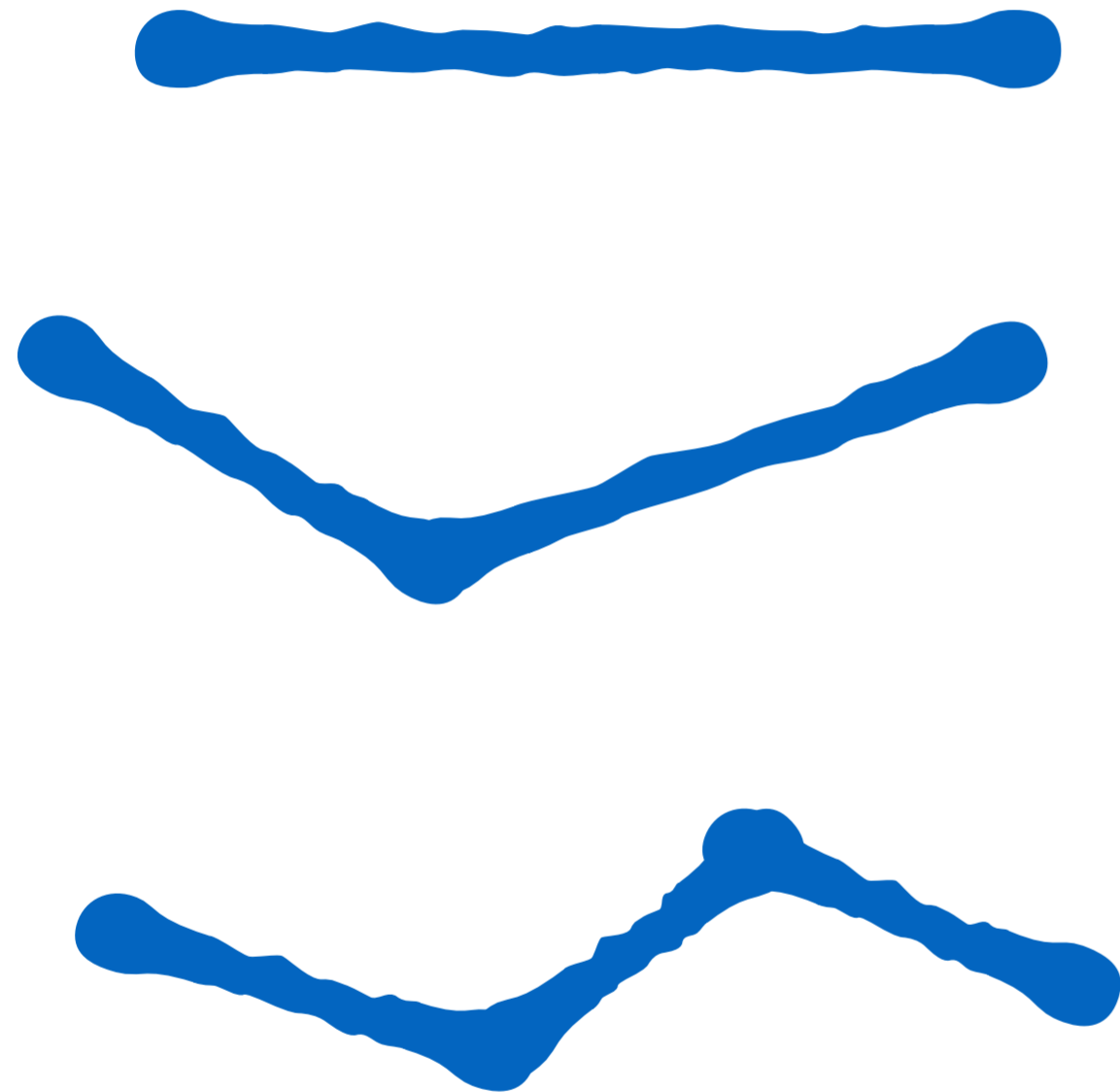


“Parton Level”

(Event structure before confinement)

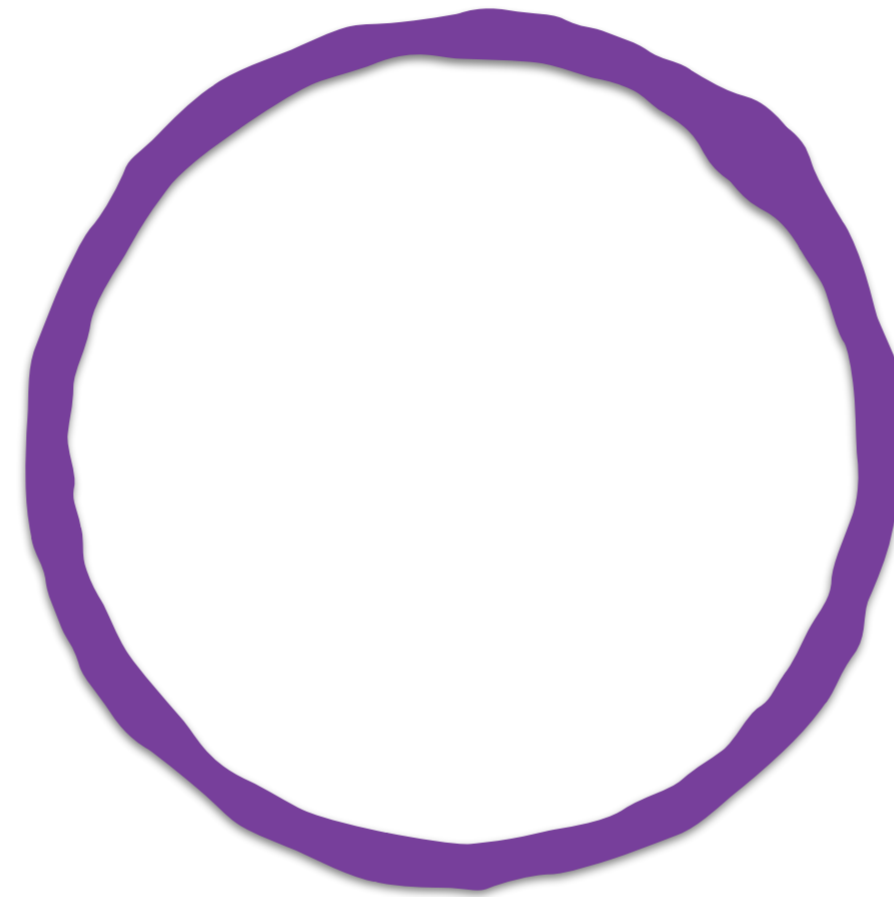
# QCD Colour Reconnections $\longleftrightarrow$ String Junctions

## Open Strings



$q\bar{q}$  strings (with gluon kinks)  
E.g.,  $Z \rightarrow q\bar{q} + \text{shower}$   
 $H \rightarrow b\bar{b} + \text{shower}$

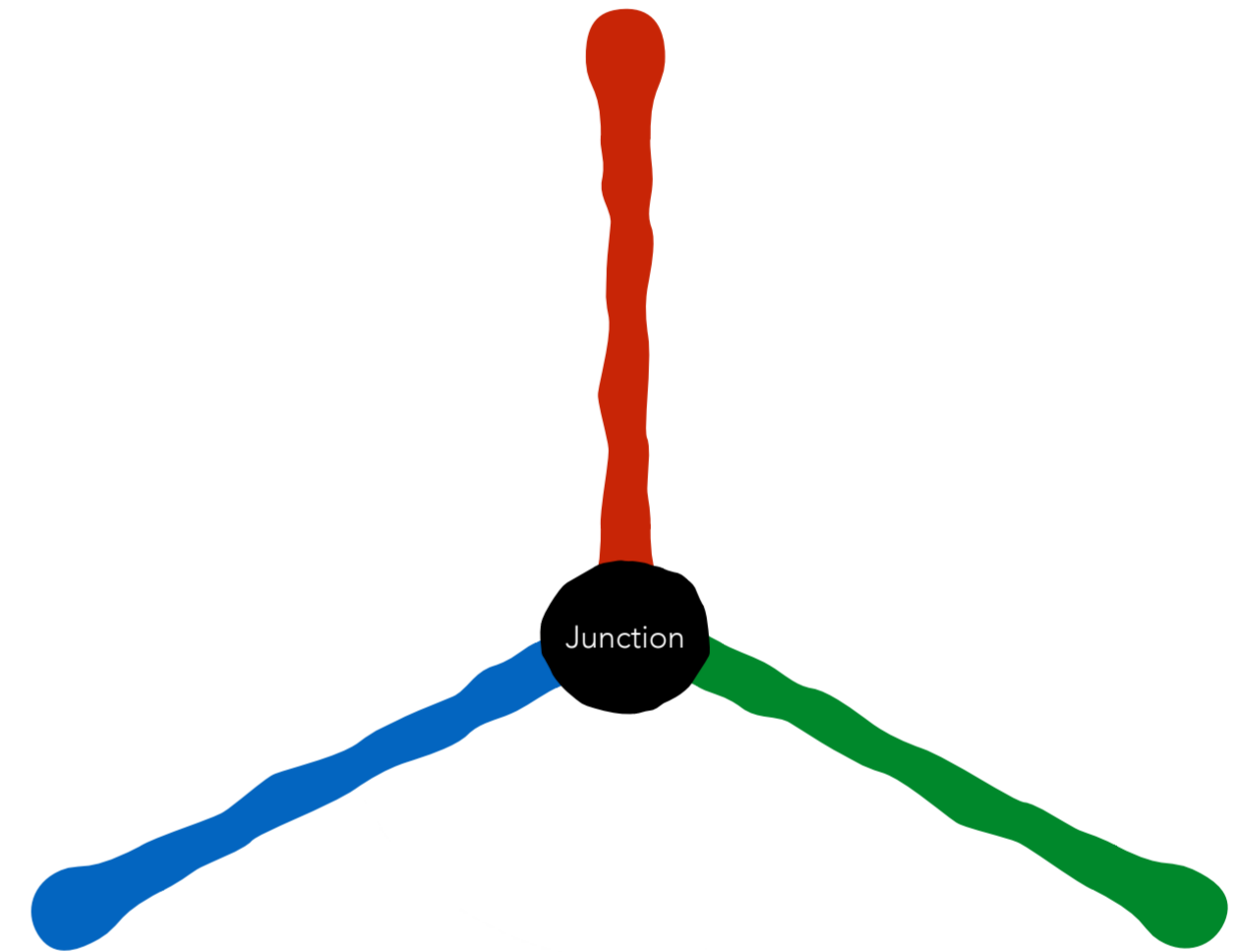
## Closed Strings



Gluon rings

E.g.,  $H \rightarrow gg + \text{shower}$   
 $\Upsilon \rightarrow ggg + \text{shower}$

## SU(3) String Junction

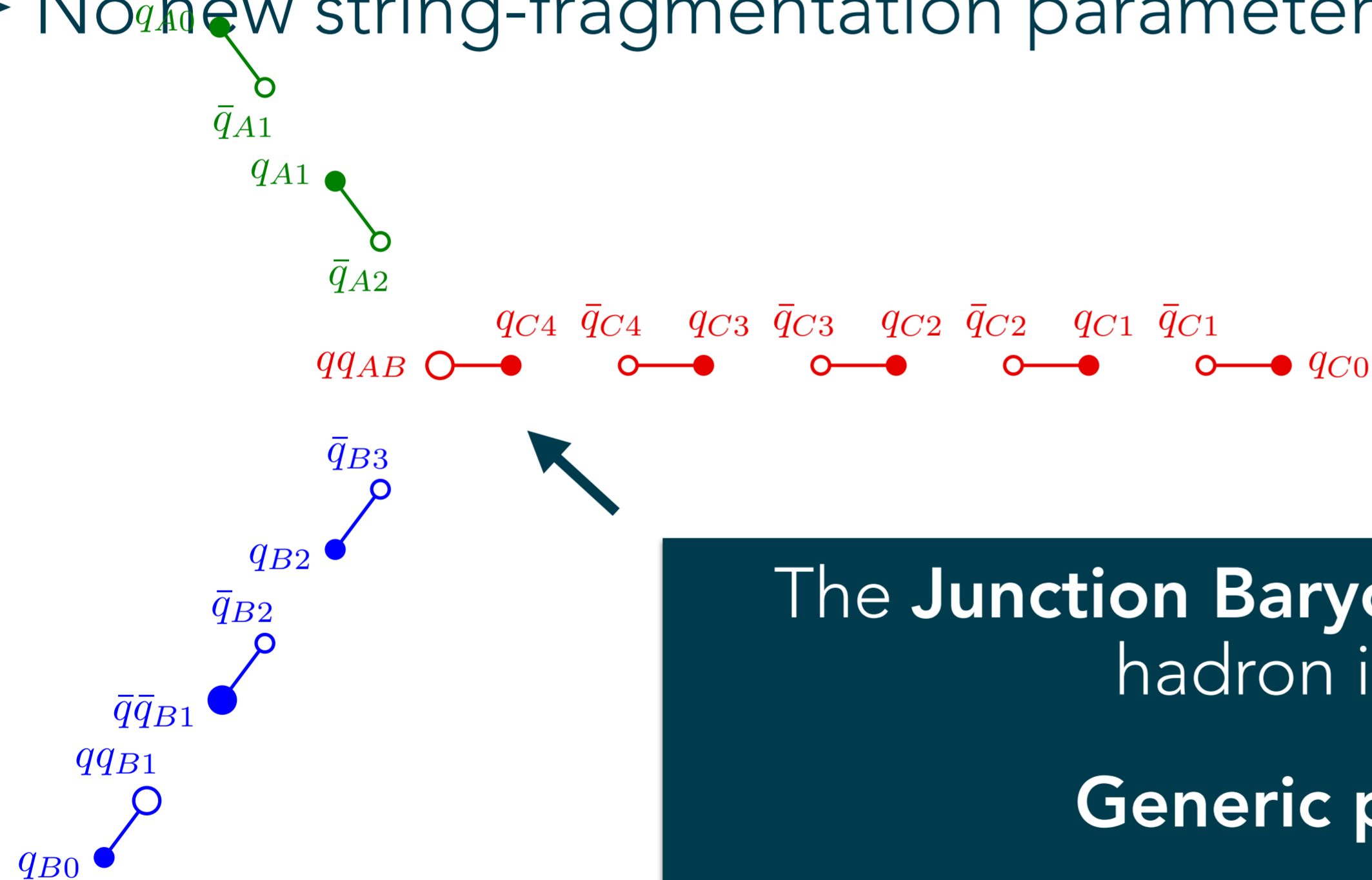


Open strings with  $N_C = 3$  endpoints  
E.g., Baryon-Number violating  
neutralino decay  $\tilde{\chi}^0 \rightarrow qqq + \text{shower}$

# Fragmentation of String Junctions

Assume Junction Strings have same properties as ordinary ones  
(u:d:s, Schwinger  $p_T$ , etc)

➤ No new string-fragmentation parameters



[Sjöstrand & PS, [NPB 659 \(2003\) 243](#)]  
[+ J. Altmann & PS, in progress]

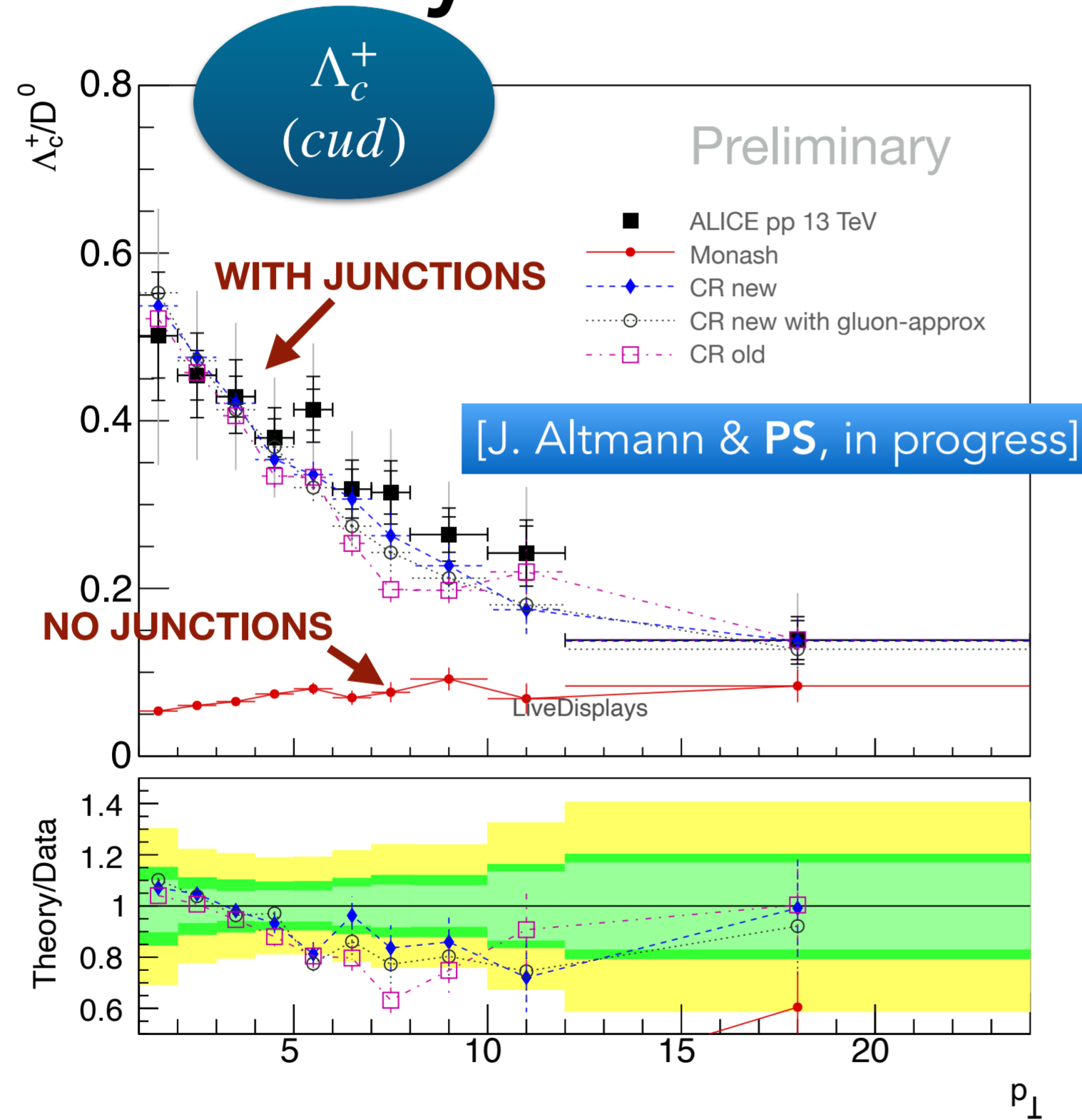
The **Junction Baryon** is the most "subleading" hadron in all three "jets".

**Generic prediction: low  $p_T$**

A Smoking Gun for String Junctions: Baryon enhancements at low  $p_T$

# Confront with Measurements

LHC experiments report very large (factor-10) enhancements in heavy-flavour baryon-to-meson ratios at low  $p_T$ !



+ Lots of interesting new measurements showing changes in **strange** vs nonstrange strange **hadrons**

& evidence of **flow-like effects** in pp collisions  
→ modifications to  $p_T$  spectra

Not reproduced by baseline string/cluster models

Very exciting! Lots of Activity



# Particle Composition: Impact on Jet Energy Scale



## ATLAS PUB Note

ATL-PHYS-PUB-2022-021

29th April 2022



### Dependence of the **Jet Energy Scale** on the **Particle Content of Hadronic Jets** in the ATLAS Detector Simulation

The dependence of the ATLAS jet energy measurement on the modelling in Monte Carlo simulations of the particle types and spectra within jets is investigated. **It is found that the hadronic jet response, i.e. the ratio of the reconstructed jet energy to the true jet energy, varies by  $\sim 1-2\%$  depending on the hadronisation model used in the simulation. This effect is mainly due to differences in the average energy carried by **kaons and baryons** in the jet.** Model differences observed for jets initiated by *quarks* or *gluons* produced in the hard scattering process are dominated by the differences in these hadron energy fractions indicating that **measurements of the hadron content of jets and improved tuning of hadronization models can result in an improvement in the precision of the knowledge of the ATLAS jet energy scale.**

### Variation largest for gluon jets

For  $E_T = [30, 100, 200] \text{ GeV}$

Max JES variation =  **$[3\%, 2\%, 1.2\%]$**

### Fraction of jet $E_T$ carried by baryons (and kaons) varies significantly

Reweighting to force similar baryon and kaon fractions

Max variation  $\rightarrow$   **$[1.2\%, 0.8\%, 0.5\%]$**

Significant potential for improved Jet Energy Scale uncertainties!

### Motivates Careful Models & Careful Constraints

Interplay with advanced UE models

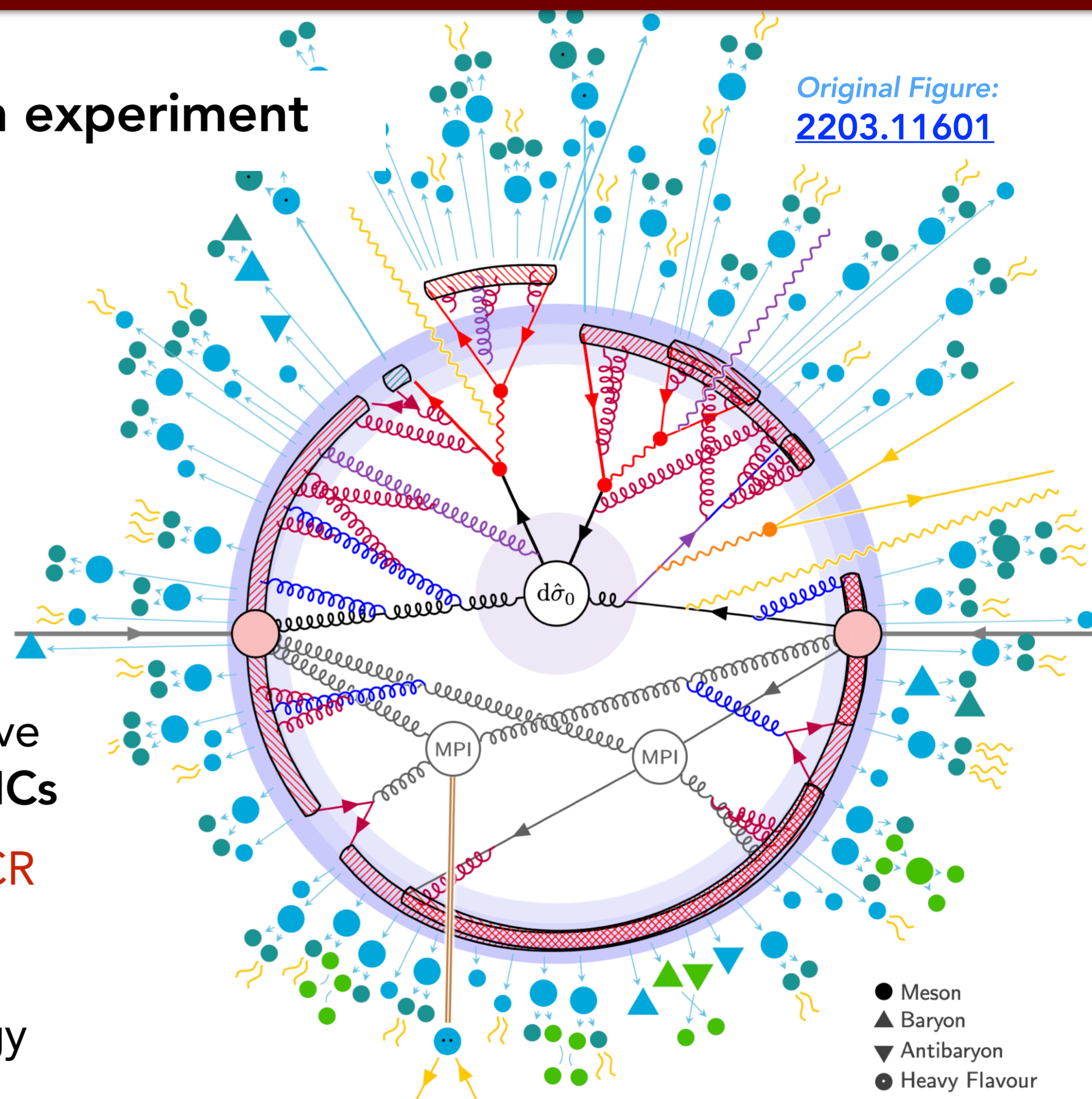
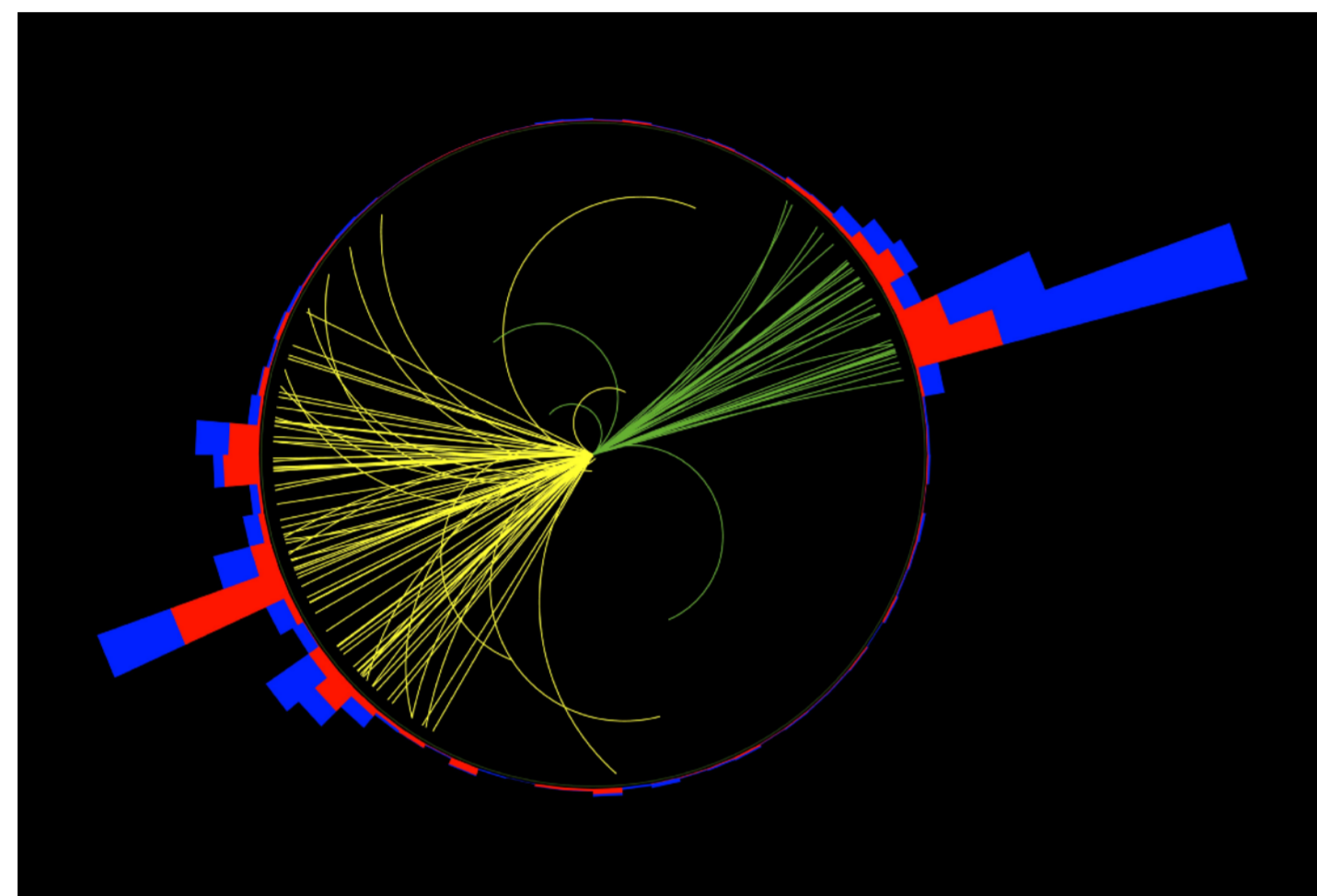
In-situ constraints from LHC data

Revisit comparisons to LEP data



# Summary

## MC generators connect theory with experiment



Entering era of percent-level perturbative accuracy, with **NNLO+N<sup>(n)</sup>LL accurate MCs**

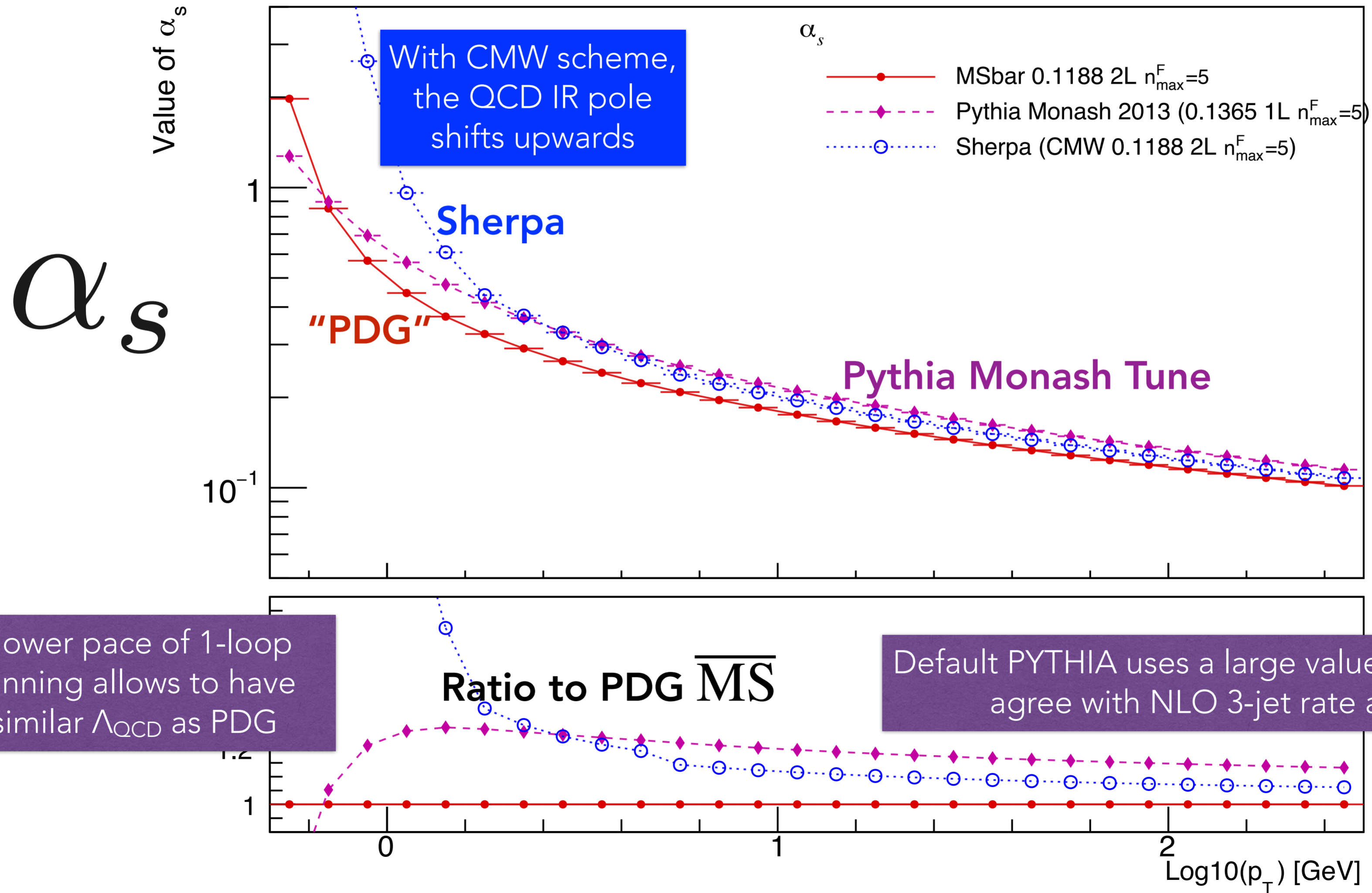
+ much **new work** on **hadronization & CR**

Driven by **LHC physics program**

But  $ee$  often used as test bed  $\leftrightarrow$  synergy

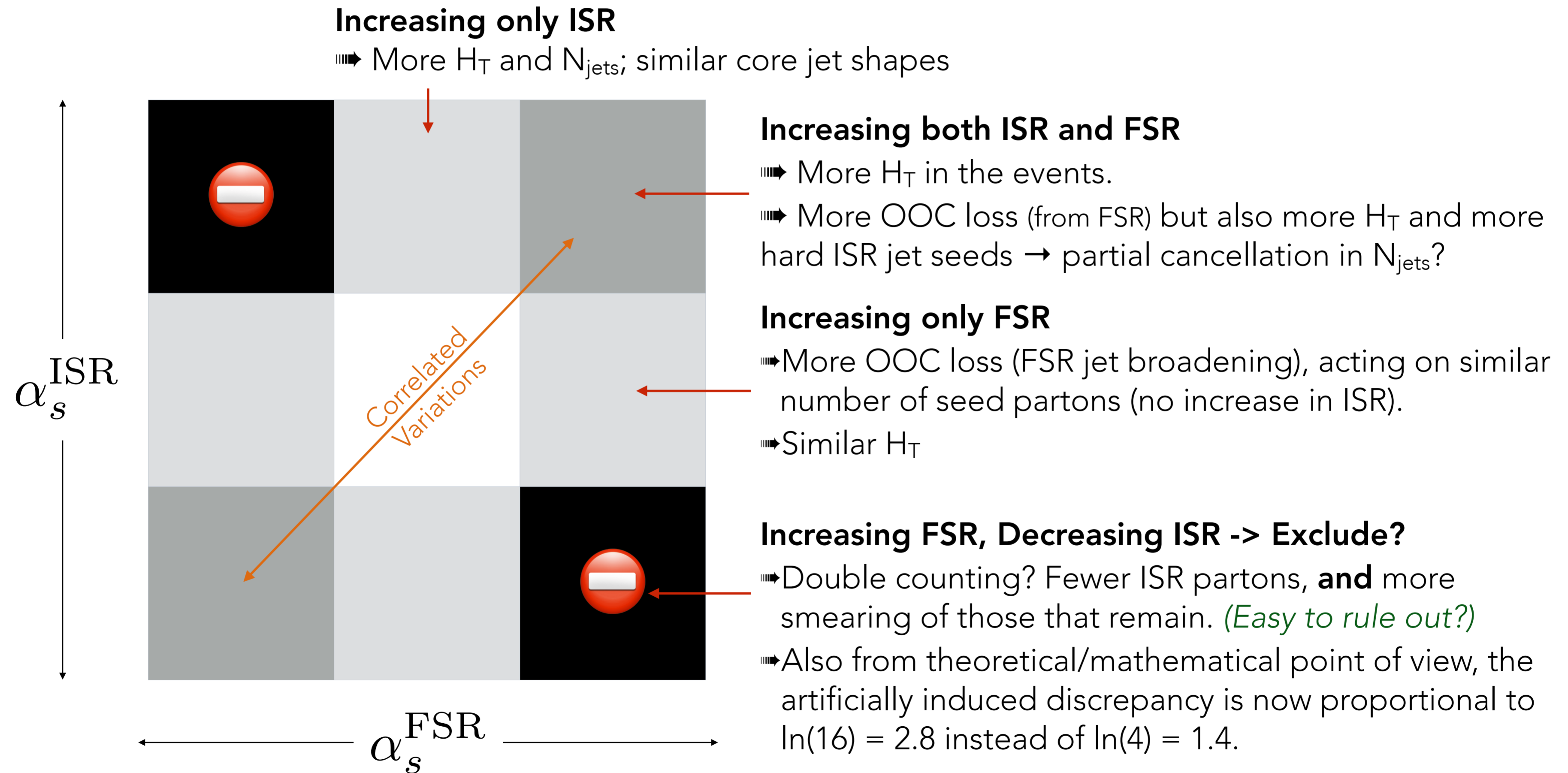
Extra Slides

# Note on Different alpha(S) Choices



# Correlated or Uncorrelated?

What I would do: **7-point variation** (resources permitting → use the automated bands?)

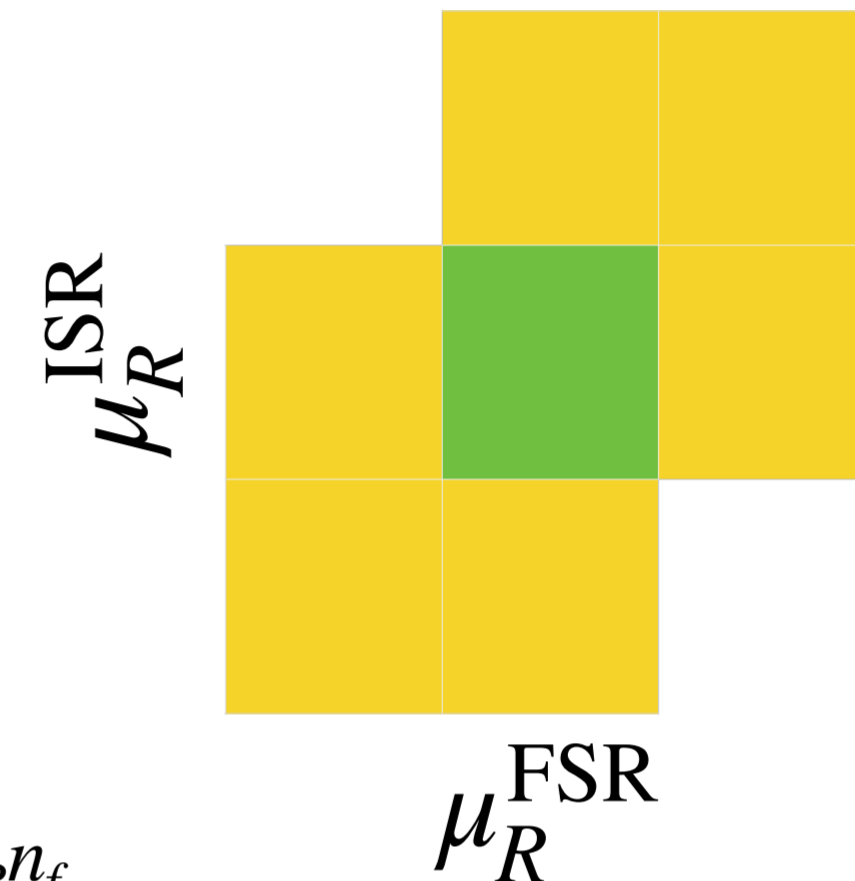


# ① Perturbative Uncertainties in Showers

**First guess: renormalisation-scale variations,**

$$\mu_R^2 \rightarrow k_\mu \mu_R^2, \text{ with constant } k_\mu \in [0.5, 2] \text{ or } [0.25, 4], \dots$$

+ e.g., do for ISR and FSR separately  $\rightarrow$  **7-point variations**  $\rightarrow$



**Induces “nuisance” terms beyond calculated orders**

$$\text{Running of } \alpha_s(k\mu^2) = \alpha_s(\mu^2) \frac{1}{1 + b_0 \alpha_s(\mu^2) \ln(k)} \quad \text{with } b_0 = \frac{11N_C - 4T_R n_f}{12\pi} \sim 0.6$$

$$\Rightarrow \text{ME proportional to } \alpha_s^n(\mu^2) \left( 1 \pm \underbrace{b_0 \alpha_s(\mu^2) \ln k^n}_{\text{variation}} + \dots \right)$$

**I think many of us suspect this is unsatisfactory and unreliable**

Problem: little guidance on what else to do ...

# Invitation for Discussions (after talk)

## Issue #1: **Multiscale Problems** (e.g., a couple of bosons + a couple of jets)

Not well captured by **any** variation  $k_\mu$  around any **single** scale

More of an issue for hard-ME calculations than for showers (which are intrinsically multiscale)

Best single-scale approximation = **geometric mean of relevant** (nested) **QCD scales**

**My recommendation: vary which scales enter this geometric mean**

## Issue #2: Terms that are **not proportional to the lower orders**

Renormalization-scale variations always proportional to what you already:

$$\mu_R \text{ variations} \implies d\sigma \rightarrow (1 \pm \Delta\alpha_s) d\sigma$$

No new kinematic dependence

But full higher-order matrix elements will also contain **genuinely new terms** at each order, not proportional to previous orders:

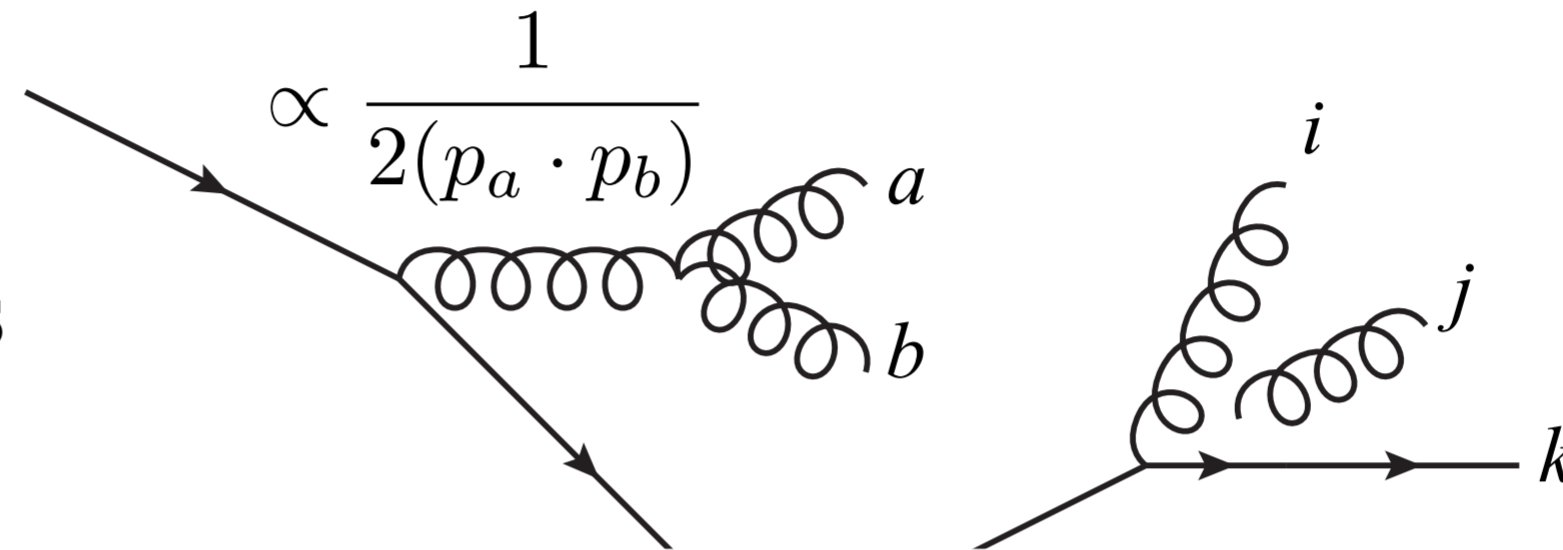
$$\text{More general} \implies d\sigma \rightarrow d\sigma \pm \Delta d\sigma$$

# Parton Showers: Theory

see e.g PS, *Introduction to QCD*, TASI 2012, arXiv:1207.2389

**Most bremsstrahlung** is driven by **divergent propagators** → simple structure

Mathematically, **gauge amplitudes factorize** in **singular limits**



Partons  $ab$   
→ **collinear:**

$$|\mathcal{M}_{F+1}(\dots, a, b, \dots)|^2 \xrightarrow{a||b} g_s^2 \mathcal{C} \frac{P(z)}{2(p_a \cdot p_b)} |\mathcal{M}_F(\dots, a + b, \dots)|^2$$

$P(z)$  = **DGLAP splitting kernels**", with  $z = E_a/(E_a + E_b)$

Gluon  $j$   
→ **soft:**

$$|\mathcal{M}_{F+1}(\dots, i, j, k, \dots)|^2 \xrightarrow{j_g \rightarrow 0} g_s^2 \mathcal{C} \frac{(p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} |\mathcal{M}_F(\dots, i, k, \dots)|^2$$

**Coherence** → Parton  $j$  really emitted by  $(i,k)$  "dipole" or "**antenna**" (**eikonal factors**)

These are the **building blocks of parton showers** (DGLAP, dipole, antenna, ...) (+ running coupling, unitarity, and explicit energy-momentum conservation.)



# Scale Variations: How big?

## What do parton showers do?

In principle, LO shower kernels proportional to  $\alpha_s$

Naively: do the analogous factor-2 variations of  $\mu_{PS}$ .

There are at least 3 reasons this could be **too** conservative

1. **For soft gluon emissions**, we know what the NLO term is

→ even if you do not use explicit NLO kernels, you are effectively NLO (in the soft gluon limit) **if** you are coherent and use  $\mu_{PS} = (k_{CMW} p_T)$ , with 2-loop running and  $k_{CMW} \sim 0.65$  (somewhat  $n_f$ -dependent). *[Though there are many ways to skin that cat; see next slides.]*

Ignoring this, a **brute-force** scale variation **destroys** the NLO-level agreement.

2. Although hard to quantify, showers typically achieve better-than-LL accuracy by accounting for **further physical effects** like (E,p) conservation

3. We see empirically that (well-tuned) showers tend to stay inside the envelope spanned by factor-2 variations in **comparison to data**

# (Illustration of the "Magic Trick")

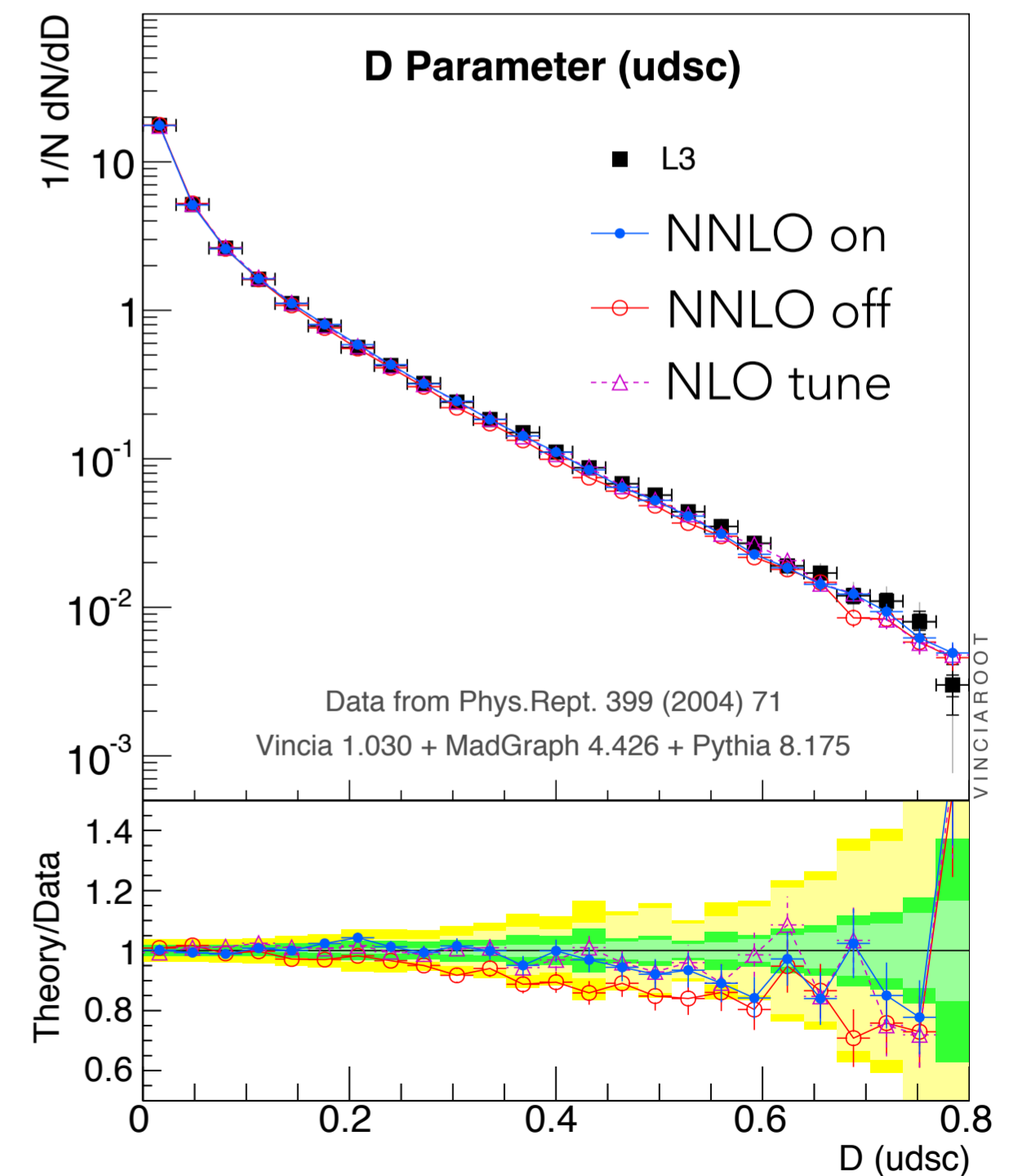
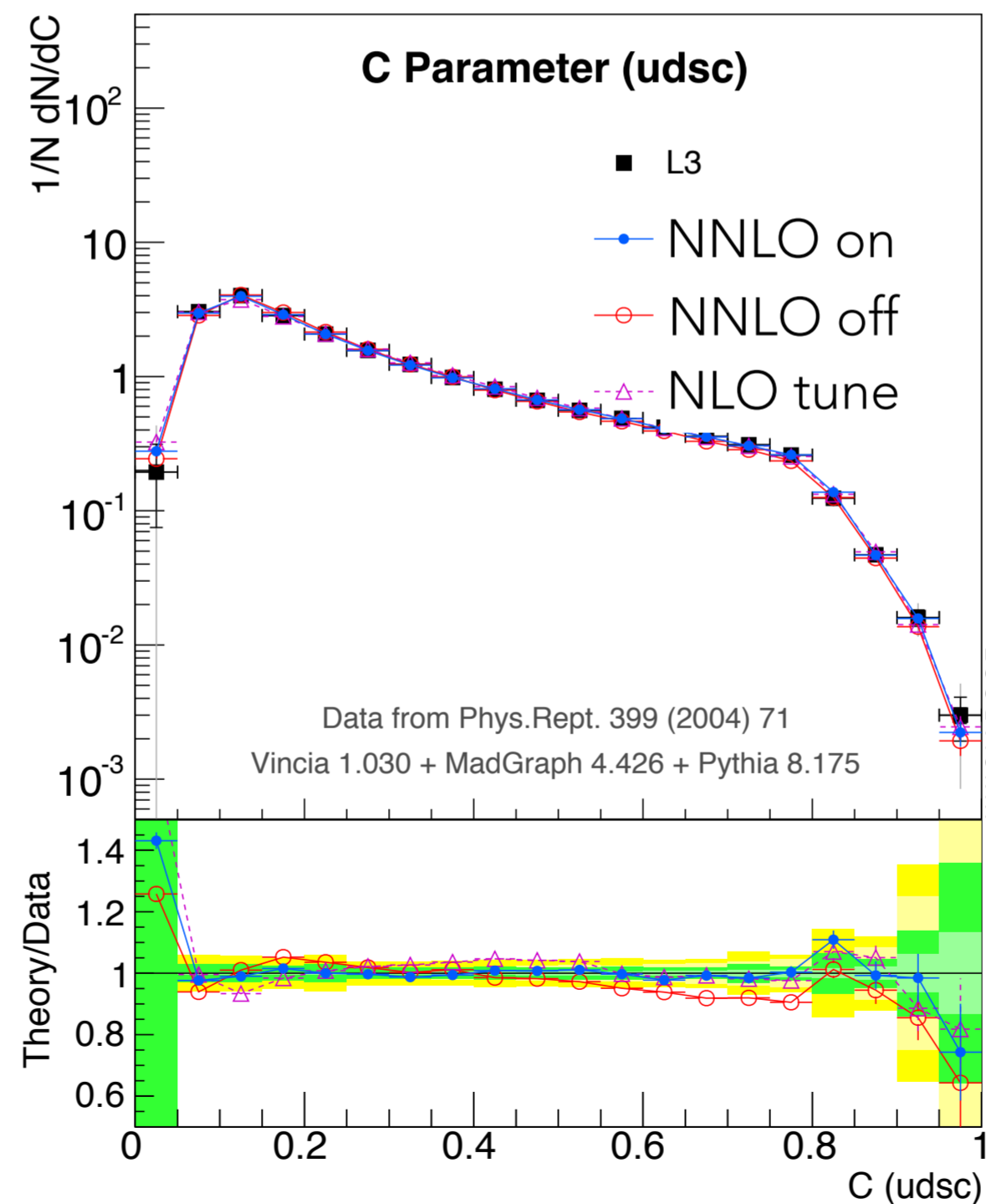
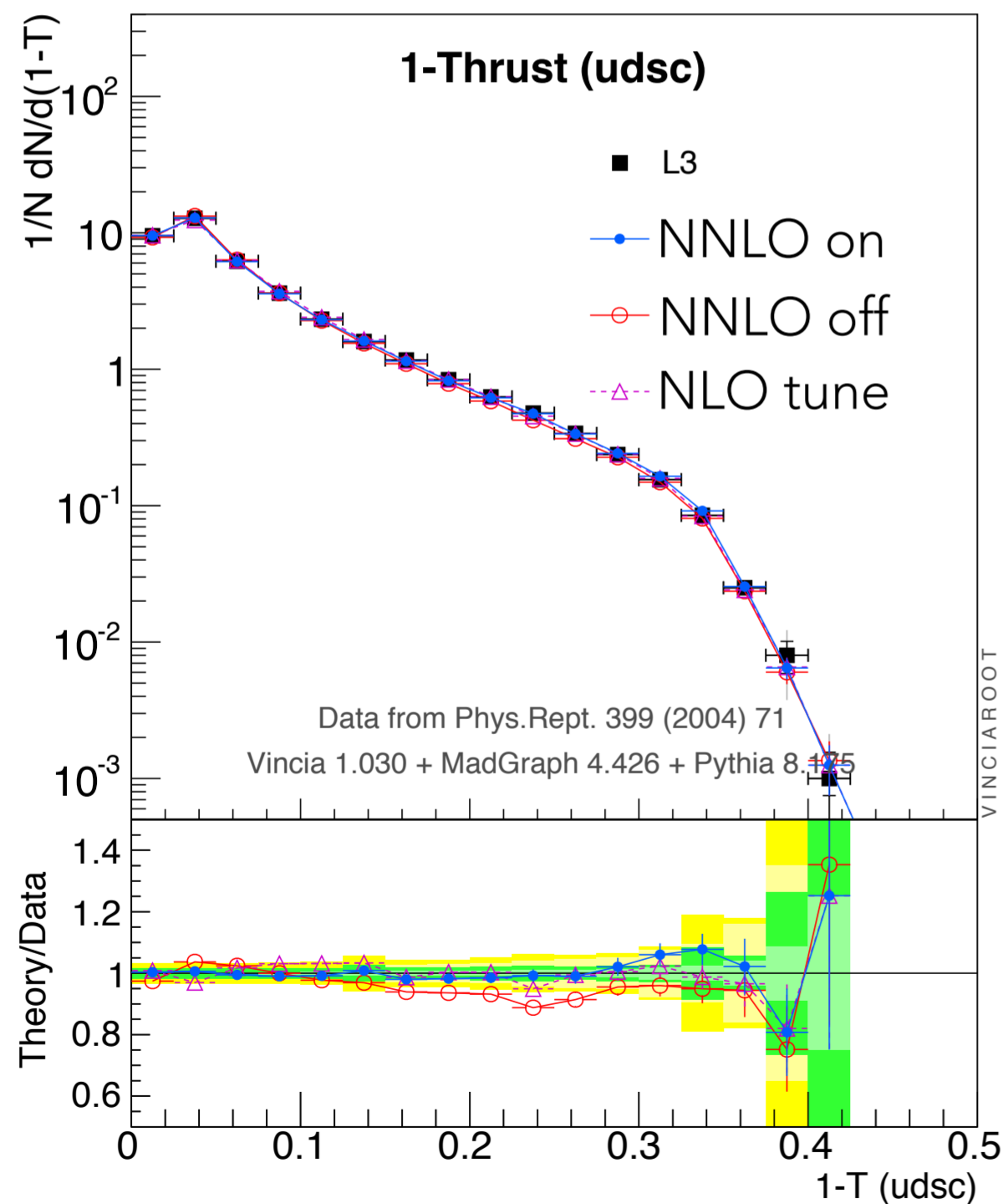
Hartgring, Laenen, **PS**, [arXiv:1303.4974](https://arxiv.org/abs/1303.4974)

## Proof-of-Concept NNLO LEP tune (NNLO Z Decay, ie with NLO 3-jet corrections — using VINCIA)

NNLO tune (3-jet NLO) with  $\alpha_s(M_Z) = 0.122$  (2-loop running, CMW)

NLO tune ~ Monash (3-jet LO) with  $\alpha_s(M_Z) = 0.139$  (1-loop running, MSbar)

Comparable values for  $\Lambda_{\text{QCD}}$



# Scale variations: How Big?

Poor man's recipe: Use  $\sqrt{2}$  instead?

Sure ... but still somewhat arbitrary

Instead: add compensation term to preserve soft-gluon limit at  $O(\alpha_s^2)$

Still allowing full factor-2 outside that limit.

Pythia includes such a compensation term, at least in context of automated uncertainty bands

Since aggressive definitions can lead to overcompensation / **extremely** optimistic predictions → very small uncertainty bands, we chose a rather conservative definition for PYTHIA → larger bands.

$$P'(t, z) = \frac{\alpha_s(kp_\perp)}{2\pi} \left( 1 + (1 - \zeta) \frac{\alpha_s(\mu_{\max})}{2\pi} \beta_0 \ln k \right) \frac{P(z)}{t}$$

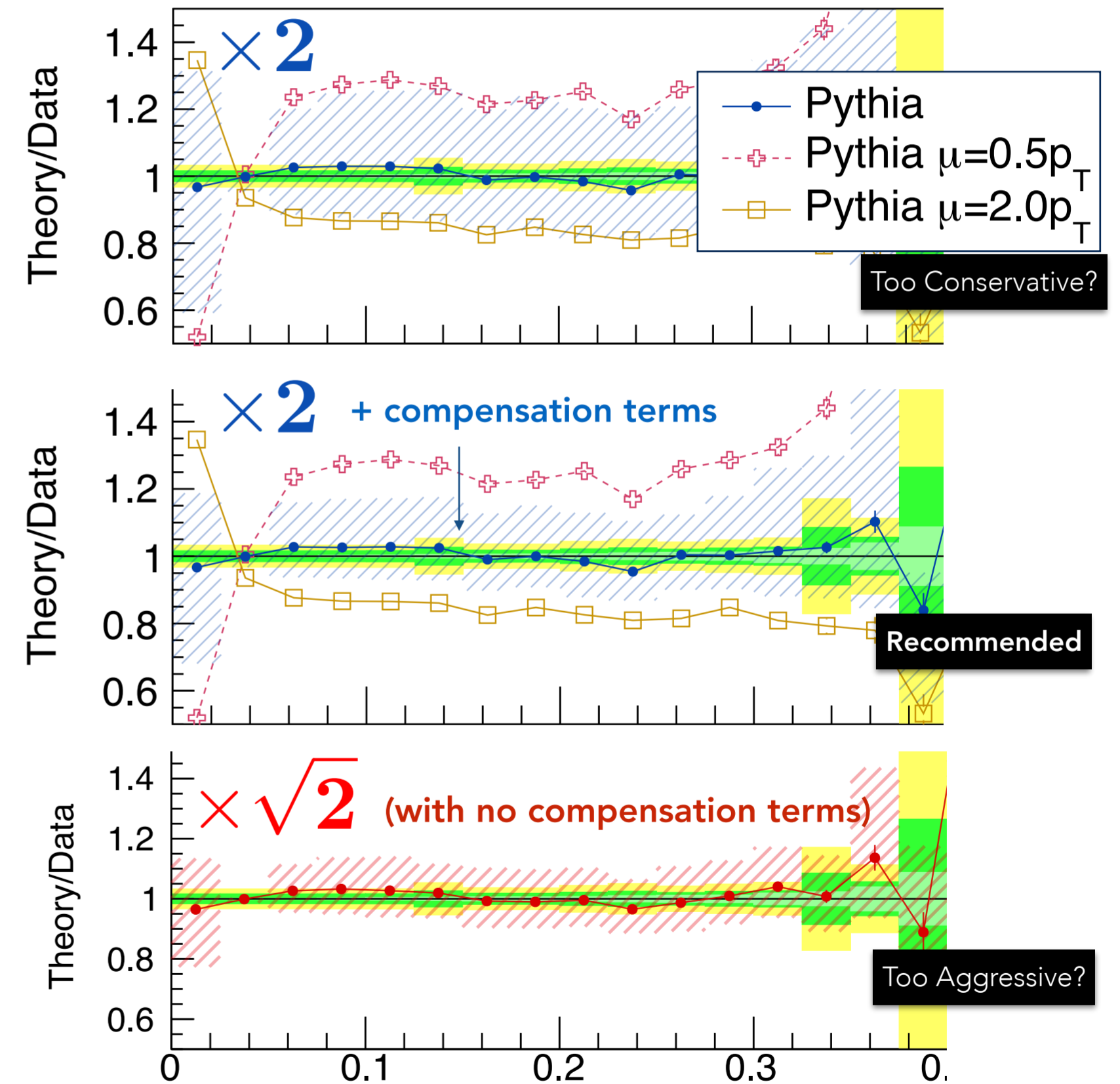
Kills the compensation outside the soft limit

Small absolute size of compensation

$$\zeta = \begin{cases} z & \text{for splittings with a } 1/z \text{ singularity} \\ 1 - z & \text{for splittings with a } 1/(1 - z) \text{ singularity} \\ \min(z, 1 - z) & \text{for splittings with a } 1/(z(1 - z)) \text{ singularity} \end{cases}$$

ee → hadrons 91.2 GeV

1-Thrust (udsc)



S. Mrenna & PS: PRD94(2016)074005; arXiv:1605.08352

# Matrix-Element Merging — The Complexity Bottleneck

**For CKKW-L style merging:** (incl UMEPS, NL3, UNLOPS, ...)

Need to take **all contributing shower histories into account.**

**In conventional parton showers** (Pythia, Herwig, Sherpa, ...)

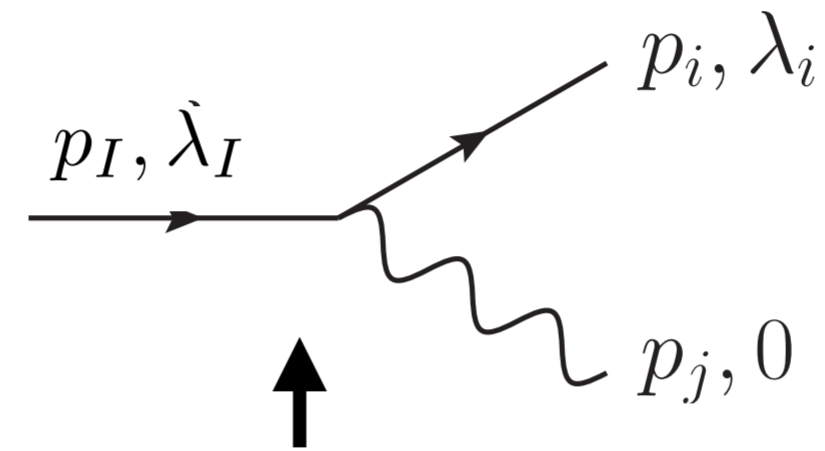
Each phase-space point receives contributions from many possible branching “histories” (aka “clusterings”)

# of histories grows  $\sim$  # of Feynman Diagrams, **faster than factorial**

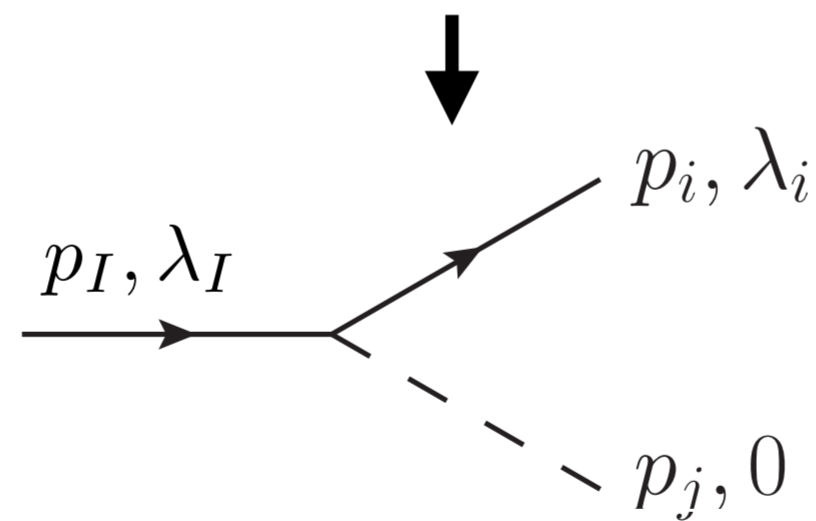
Number of Histories for $n$ Branchings							
Starting from a single $q\bar{q}$ pair	$n = 1$	$n = 2$	$n = 3$	$n = 4$	$n = 5$	$n = 6$	$n = 7$
CS Dipole	2	8	48	384	3840	46080	645120

**Bottleneck** for merging at high multiplicities (+ high code complexity)

# EW Showers: Longitudinal Polarizations / Goldstone bosons



$$\epsilon_0^\mu(p) = \frac{1}{m} \left( p^\mu - \frac{m^2}{p \cdot k} k^\mu \right)$$



# Lots of Antenna Functions

$$a_{f_{\lambda} \mapsto f_{\lambda} V_{\lambda}}^{FF} = 2(v - \lambda a)^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{1}{x_j}$$

$$a_{f_{\lambda} \mapsto f_{\lambda} V_{-\lambda}}^{FF} = 2(v - \lambda a)^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{x_i^2}{x_j}$$

$$a_{f_{\lambda} \mapsto f_{-\lambda} V_{\lambda}}^{FF} = 2 \frac{1}{(m_{ij}^2 - m_I^2)^2} \left( (v - \lambda a) m_i \frac{1}{\sqrt{x_i}} - (v + \lambda a) m_I \sqrt{x_i} \right)^2$$

$$a_{f_{\lambda} \mapsto f_{\lambda} V_0}^{FF} = \frac{1}{(m_{ij}^2 - m_I^2)^2} \left[ (v - \lambda a) \left( \frac{m_I^2}{m_j} \sqrt{x_i} - \frac{m_i^2}{m_j} \frac{1}{\sqrt{x_i}} - 2m_j \frac{\sqrt{x_i}}{x_j} \right) + (v + \lambda a) \frac{m_I m_i}{m_j} \frac{x_j}{\sqrt{x_i}} \right]^2$$

$$a_{f_{\lambda} \mapsto f_{-\lambda} V_0}^{FF} = \frac{(m_I(v + \lambda a) - m_i(v - \lambda a))^2}{m_j^2} \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} x_j.$$

$$a_{f_{\lambda} f_{\lambda} H}^{FF} = \frac{e^2}{4s_w^2} \frac{m_i^4}{s_w^2} \frac{1}{(m_{ij}^2 - m_I^2)^2} \left( \sqrt{x_i} + \frac{1}{\sqrt{x_i}} \right)^2$$

$$a_{f_{\lambda} f_{-\lambda} H}^{FF} = \frac{e^2}{4s_w^2} \frac{m_i^2}{s_w^2} \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} x_j.$$

$$a_{V_{\lambda} \mapsto V_{\lambda} H}^{FF} = \frac{e^2}{s_w^2} \frac{m_v^4}{m_w^2} \frac{1}{(m_{ij}^2 - m_I^2)^2}$$

$$a_{V_{\lambda} \mapsto V_0 H}^{FF} = \frac{e^2}{2s_w^2} \frac{m_v^2}{m_w^2} \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} x_i x_j$$

$$a_{V_0 \mapsto V_{\lambda} H}^{FF} = \frac{e^2}{2s_w^2} \frac{m_v^2}{m_w^2} \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{x_j}{x_i}$$

$$a_{V_0 \mapsto V_0 H}^{FF} = \frac{e^2}{4s_w^2} \frac{1}{m_w^2} \frac{1}{(m_{ij}^2 - m_I^2)^2} \left( m_I^2 - 2m_i^2 \left( x_i + \frac{1}{x_i} \right) \right)^2.$$

$$a_{V_{\lambda} \mapsto f_{\lambda} \bar{f}_{-\lambda}}^{FF} = 2(v - \lambda a)^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} x_j^2$$

$$a_{V_{\lambda} \mapsto f_{-\lambda} \bar{f}_{\lambda}}^{FF} = 2(v + \lambda a)^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} x_i^2$$

$$a_{V_{\lambda} \mapsto f_{-\lambda} \bar{f}_{-\lambda}}^{FF} = 2 \frac{1}{(m_{ij}^2 - m_I^2)^2} \left( (v + \lambda a) m_i \sqrt{\frac{x_j}{x_i}} + (v - \lambda a) m_j \sqrt{\frac{x_i}{x_j}} \right)^2$$

$$a_{V_0 \mapsto f_{\lambda} \bar{f}_{\lambda}}^{FF} = \frac{((v + \lambda a) m_i - (v - \lambda a) m_j)^2}{m_I^2} \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2}$$

$$a_{V_0 \mapsto f_{\lambda} \bar{f}_{-\lambda}}^{FF} = \frac{1}{(m_{ij}^2 - m_I^2)^2}$$

$$\times \left[ (v - \lambda a) \left( 2m_I \sqrt{x_i x_j} - \frac{m_i^2}{m_I} \sqrt{\frac{x_j}{x_i}} - \frac{m_j^2}{m_I} \sqrt{\frac{x_i}{x_j}} \right) + (v + \lambda a) \frac{m_i m_j}{m} \frac{1}{\sqrt{x_i x_j}} \right]^2.$$

$$a_{V_{\lambda} \mapsto V_{\lambda} V_{\lambda}}^{FF} = 2g_v^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{1}{x_i x_j}$$

$$a_{V_{\lambda} \mapsto V_{\lambda} V_{-\lambda}}^{FF} = 2g_v^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{x_i^3}{x_j}$$

$$a_{V_{\lambda} \mapsto V_{-\lambda} V_{\lambda}}^{FF} = 2g_v^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{x_j^3}{x_i}$$

$$a_{V_{\lambda} \mapsto V_{\lambda} V_0}^{FF} = g_v^2 \frac{1}{(m_{ij}^2 - m_I^2)^2} \frac{(m_I^2 - m_i^2 - \frac{1+x_i}{x_j} m_j^2)^2}{m_j^2}$$

$$a_{V_{\lambda} \mapsto V_0 V_{\lambda}}^{FF} = g_v^2 \frac{1}{(m_{ij}^2 - m_I^2)^2} \frac{(m_I^2 - m_j^2 - \frac{1+x_j}{x_i} m_i^2)^2}{m_i^2}$$

$$a_{V_{\lambda} \mapsto V_0 V_0}^{FF} = \frac{g_v^2}{2} \frac{(m_I^2 - m_i^2 - m_j^2)^2}{m_i^2 m_j^2} \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} x_i x_j.$$

$$a_{H \mapsto f_{\lambda} \bar{f}_{\lambda}}^{FF} = \frac{e^2}{4s_w^2} \frac{m_i^2}{s_w^2} \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2}$$

$$a_{H \mapsto f_{\lambda} \bar{f}_{-\lambda}}^{FF} = \frac{e^2}{4s_w^2} \frac{m_i^4}{s_w^2} \frac{1}{(m_{ij}^2 - m_I^2)^2} \left( \sqrt{\frac{x_i}{x_j}} - \sqrt{\frac{x_j}{x_i}} \right)^2.$$

# Collinear Limits

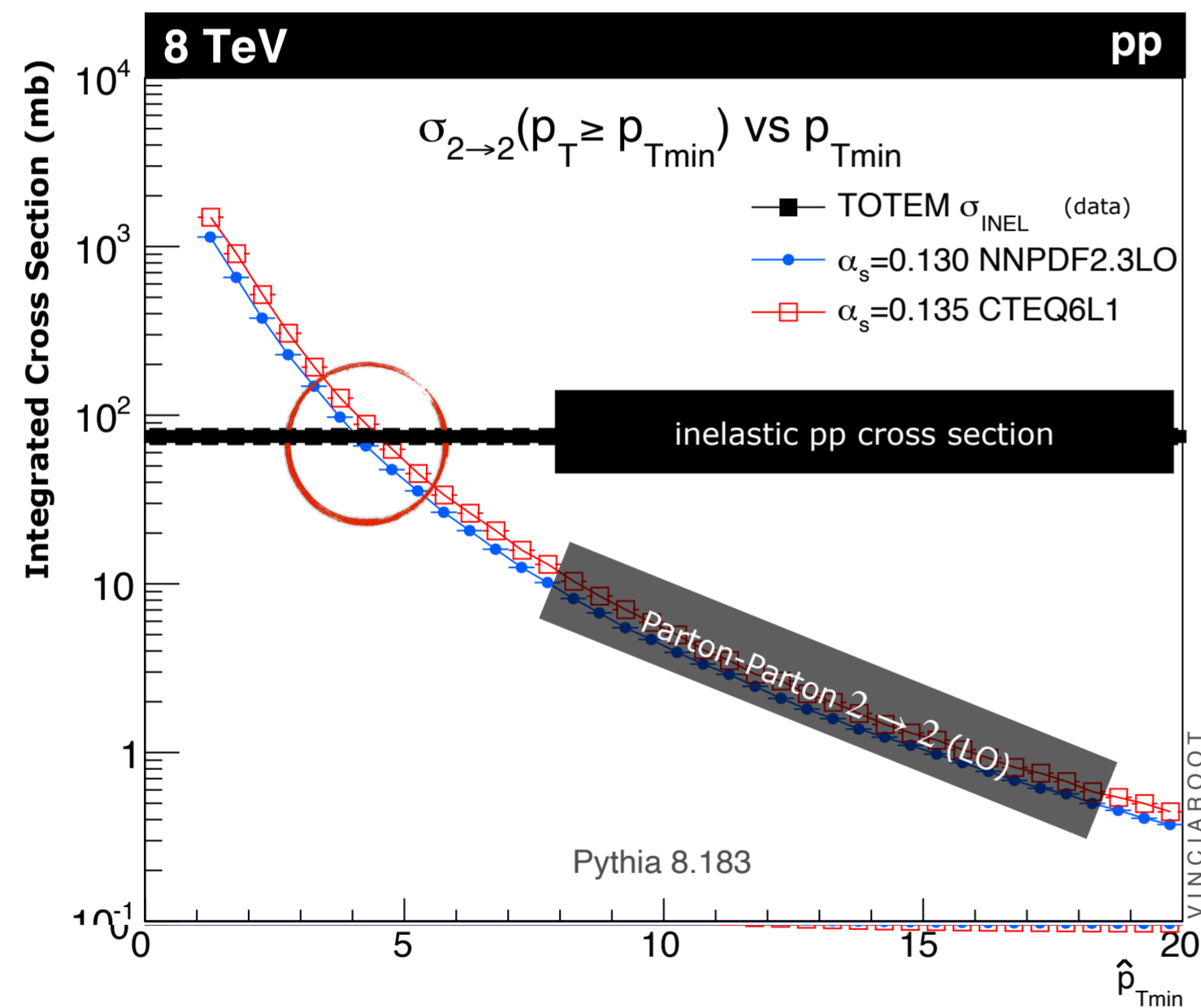
$$\tilde{m}_{ij}^2 = m_{ij}^2 - \frac{m_i^2}{z^2} - \frac{m_j^2}{(1-z)^2}$$

$\lambda_I$	$\lambda_i$	$\lambda_j$	$f \rightarrow f'V$			
$\lambda$	$\lambda$	$\lambda$	$2(v - \lambda a)^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{1}{1-z}$	$\rightarrow$	$P(z) \propto \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{1+z^2}{1-z}$	Pure vector
$\lambda$	$\lambda$	$-\lambda$	$2(v - \lambda a)^2 \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} \frac{z^2}{1-z}$			
$\lambda$	$-\lambda$	$\lambda$	$2 \frac{1}{(m_{ij}^2 - m_I^2)^2} \left( m_I(v - \lambda a) \sqrt{z} - m_i(v + \lambda a) \frac{1}{\sqrt{z}} \right)^2$			Pure vector
$\lambda$	$-\lambda$	$-\lambda$	0	$\rightarrow$	$P(z) \propto \frac{m^2}{(m_{ij}^2 - m_I^2)^2}$	
$\lambda$	$\lambda$	0	$\frac{1}{(m_{ij}^2 - m_I^2)^2} \left[ (v - \lambda a) \left( \frac{m_I^2}{m_j} \sqrt{z} - \frac{m_i^2}{m_j} \frac{1}{\sqrt{z}} - 2m_j \frac{\sqrt{z}}{1-z} \right) + (v + \lambda a) \frac{m_i m_I}{m_j} \frac{1-z}{\sqrt{z}} \right]^2$			Vector + Scalar
$\lambda$	$-\lambda$	0	$\frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} (1-z) \left( \frac{m_i}{m_j} (v - \lambda a) - \frac{m_I}{m_j} (v + \lambda a) \right)^2$	$\rightarrow$	$P(z) \propto \frac{\tilde{m}_{ij}^2}{(m_{ij}^2 - m_I^2)^2} (1-z)$	Pure scalar

# A Brief History of MPI in PYTHIA

$$\frac{\sigma_{\text{parton-parton}}(\hat{p}_{\perp})}{\sigma_{\text{hadron-hadron}}} > 1$$

⇒ several parton-parton interactions *per* hadron-hadron interaction



**Sjöstrand & van Zijl, 1985:**

Cast as **Sudakov-style evolution equation**, analogous to the  $\sigma_{X+jet}(p_{\perp})/\sigma_X$  one of showers

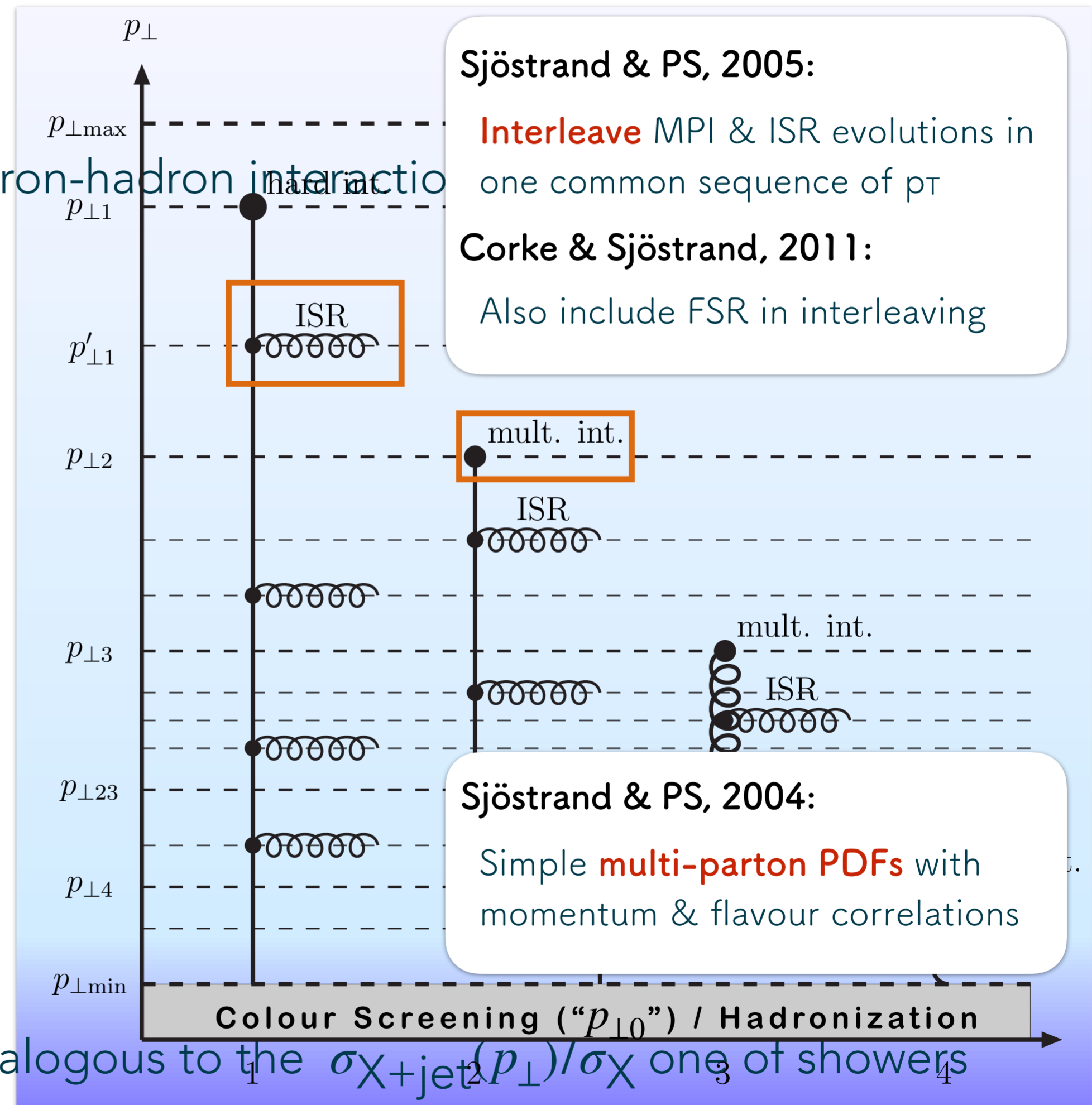


Figure from Sjöstrand & PS, 2005

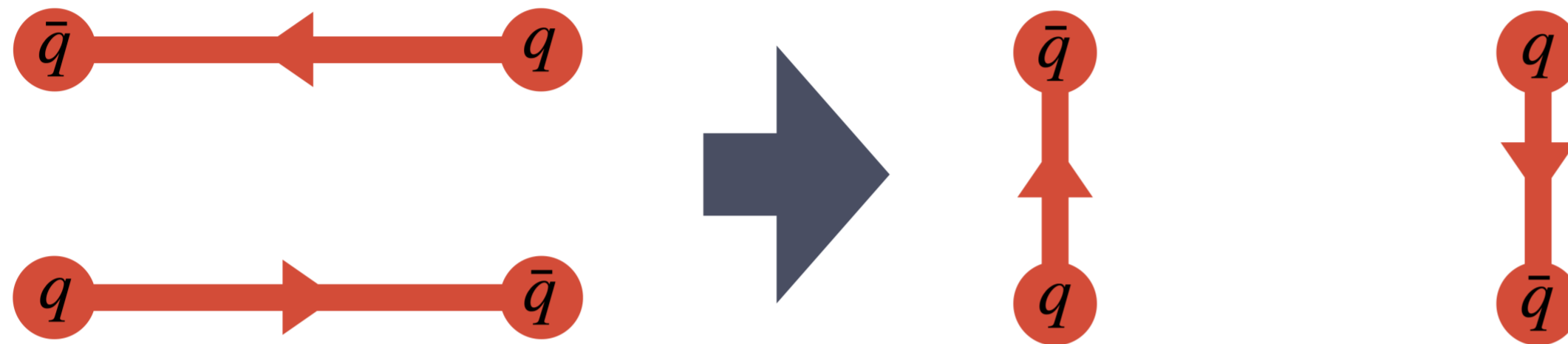


# QCD Colour Reconnections $\longleftrightarrow$ String Junctions

Stochastically restores colour-space ambiguities according to **SU(3) algebra**

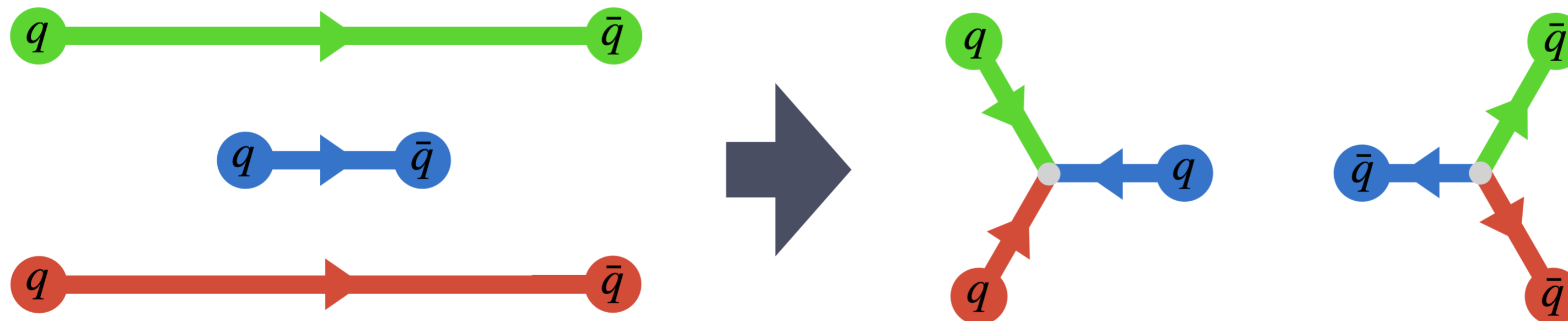
[Christiansen & PS  
JHEP 08 (2015) 003]

➤ Allows for reconnections to minimise string lengths



Dipole-type reconnection

What about the **red-green-blue** colour singlet state?

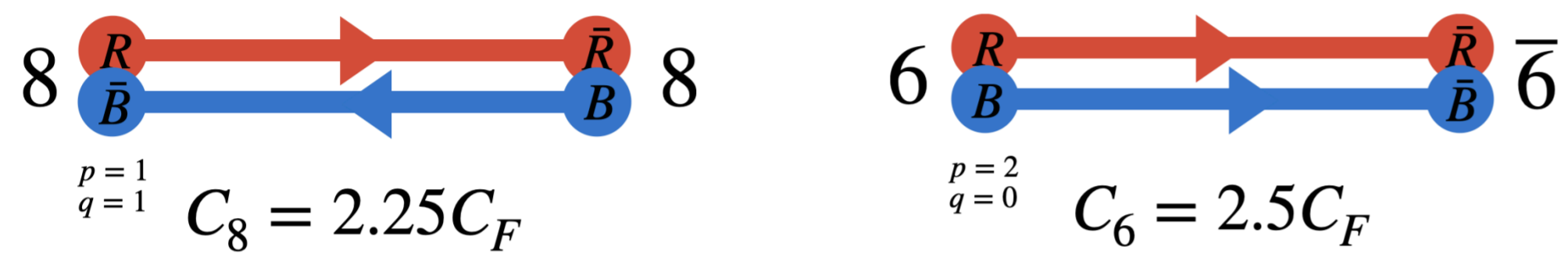


Junctions!

**NEW** In Progress: **Strangeness Enhancement from Close-Packing**

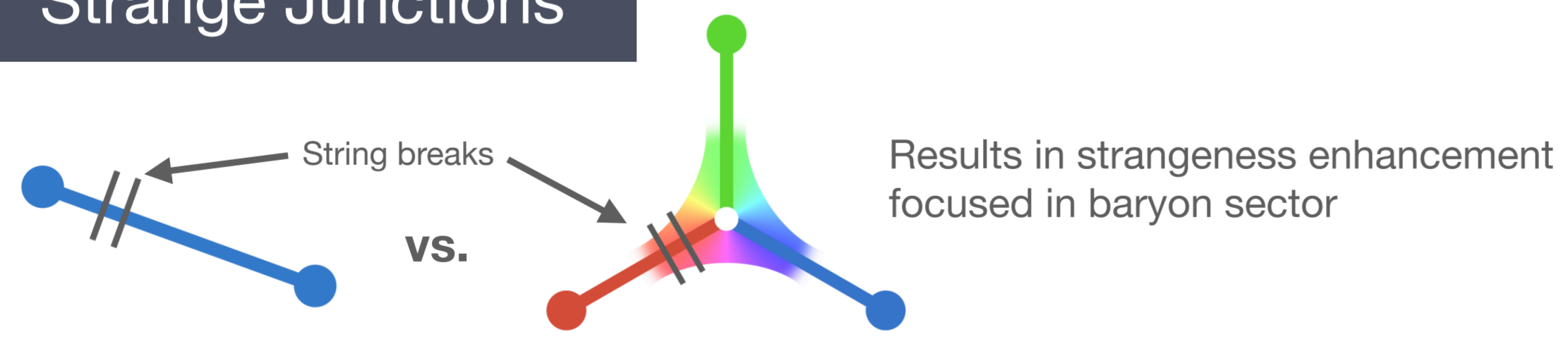
Idea: each string exists in an effective background produced by the

**Close-packing**

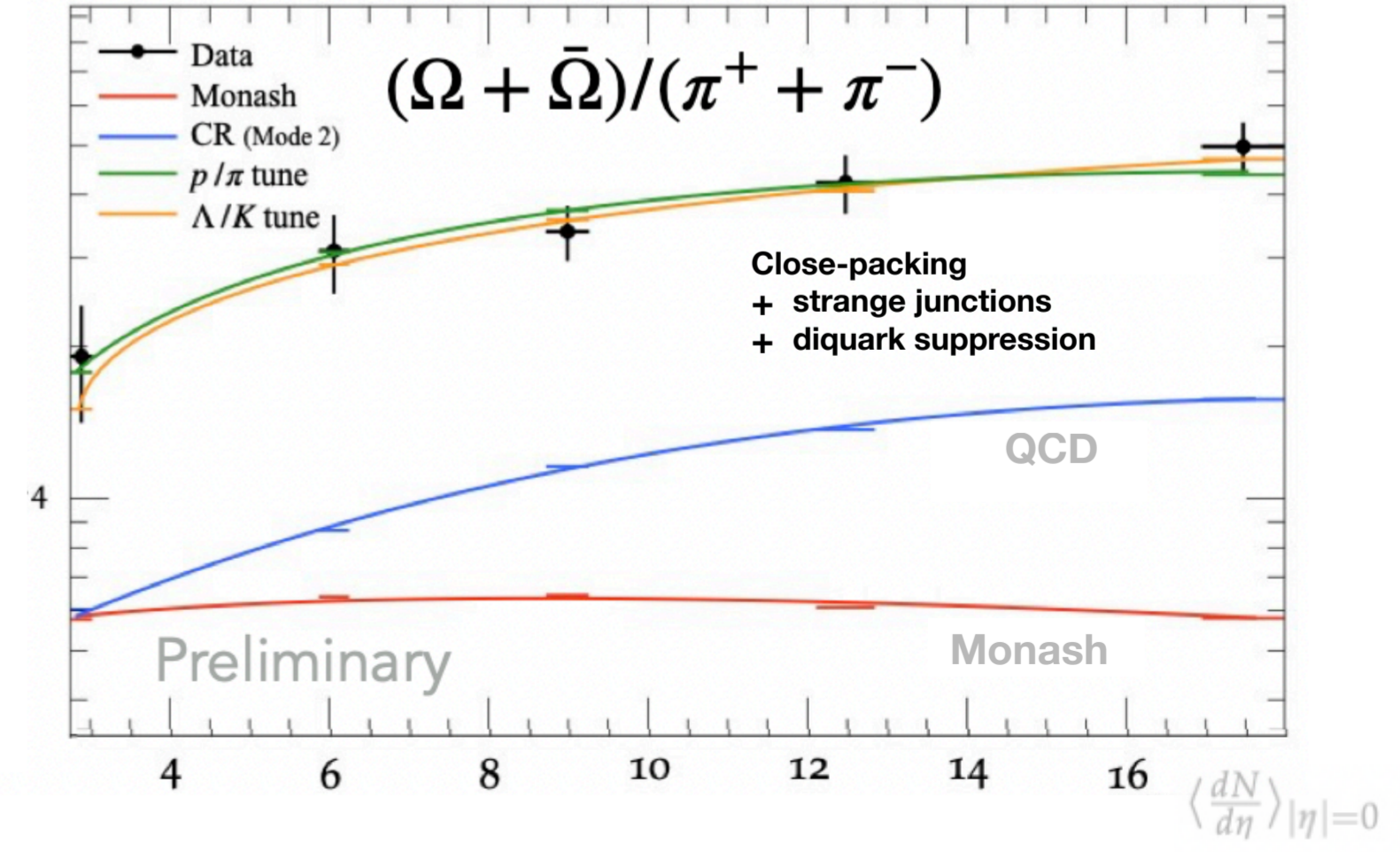
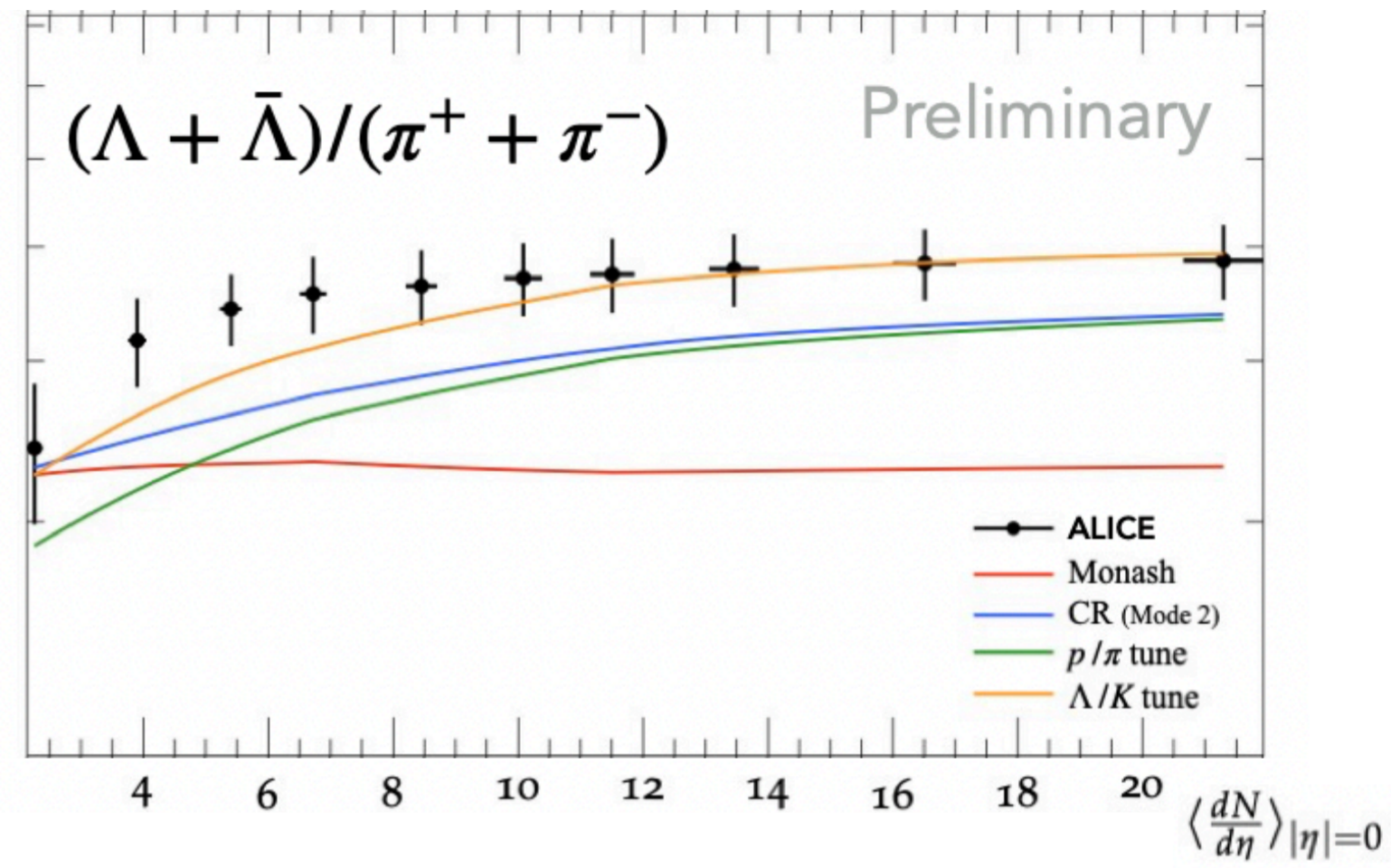


- Dense string environments
- Casimir scaling of **effective string tension**
- Higher probability of strange quarks

**Strange Junctions**



String tension could be different from the vacuum case compared to near a junction



# LHCb: also in Bottom

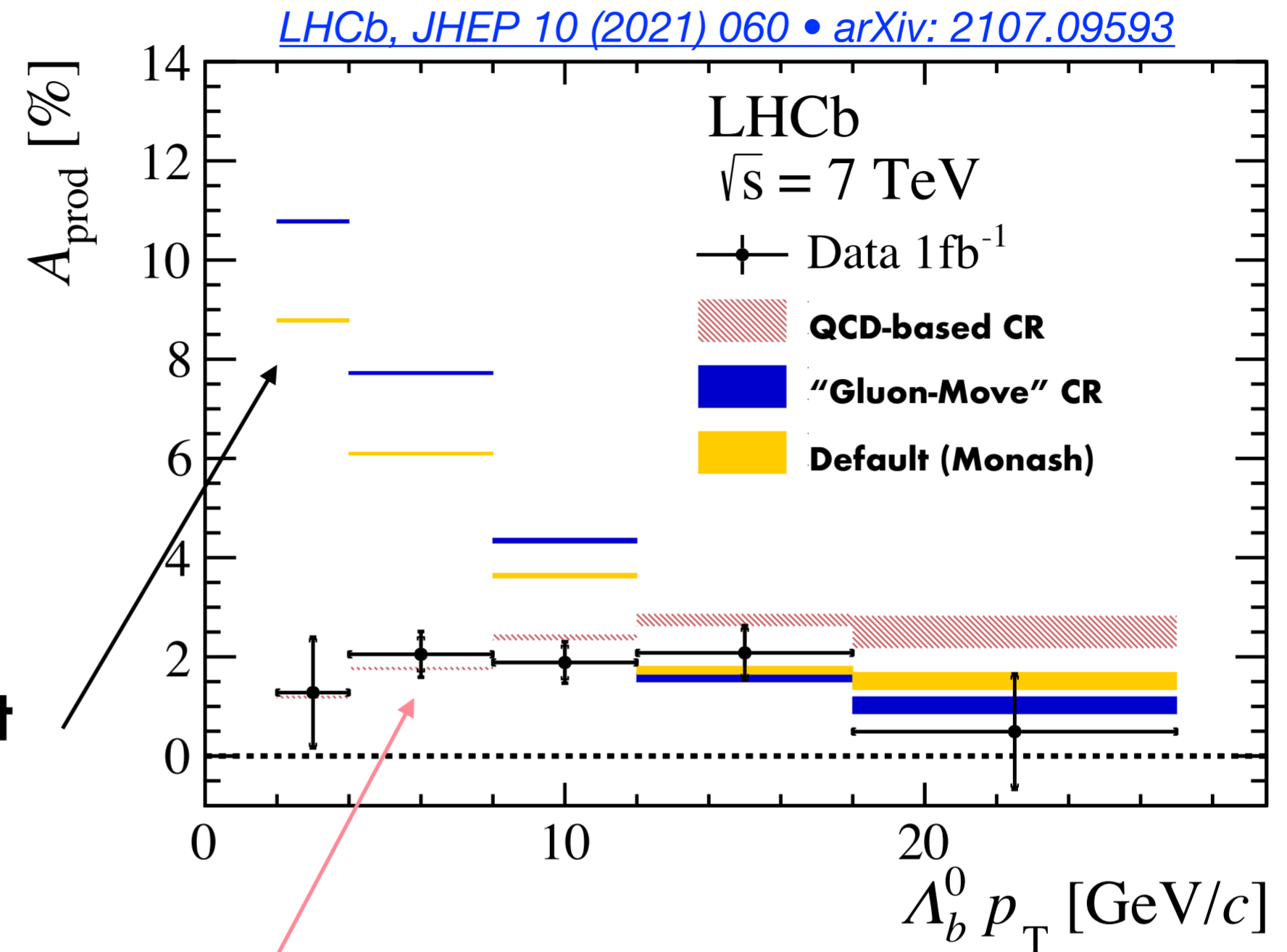
## $\Lambda_b$ asymmetry

$$A = \frac{\sigma(\Lambda_b^0) - \sigma(\bar{\Lambda}_b^0)}{\sigma(\Lambda_b^0) + \sigma(\bar{\Lambda}_b^0)}$$

**Without** junction CR, an important source of low- $p_T$   $\Lambda_b$  production is when a b quark combines with the proton beam remnant.

Not possible for  $\bar{\Lambda}_b$  (no  $\bar{p}$  remnant at LHC)

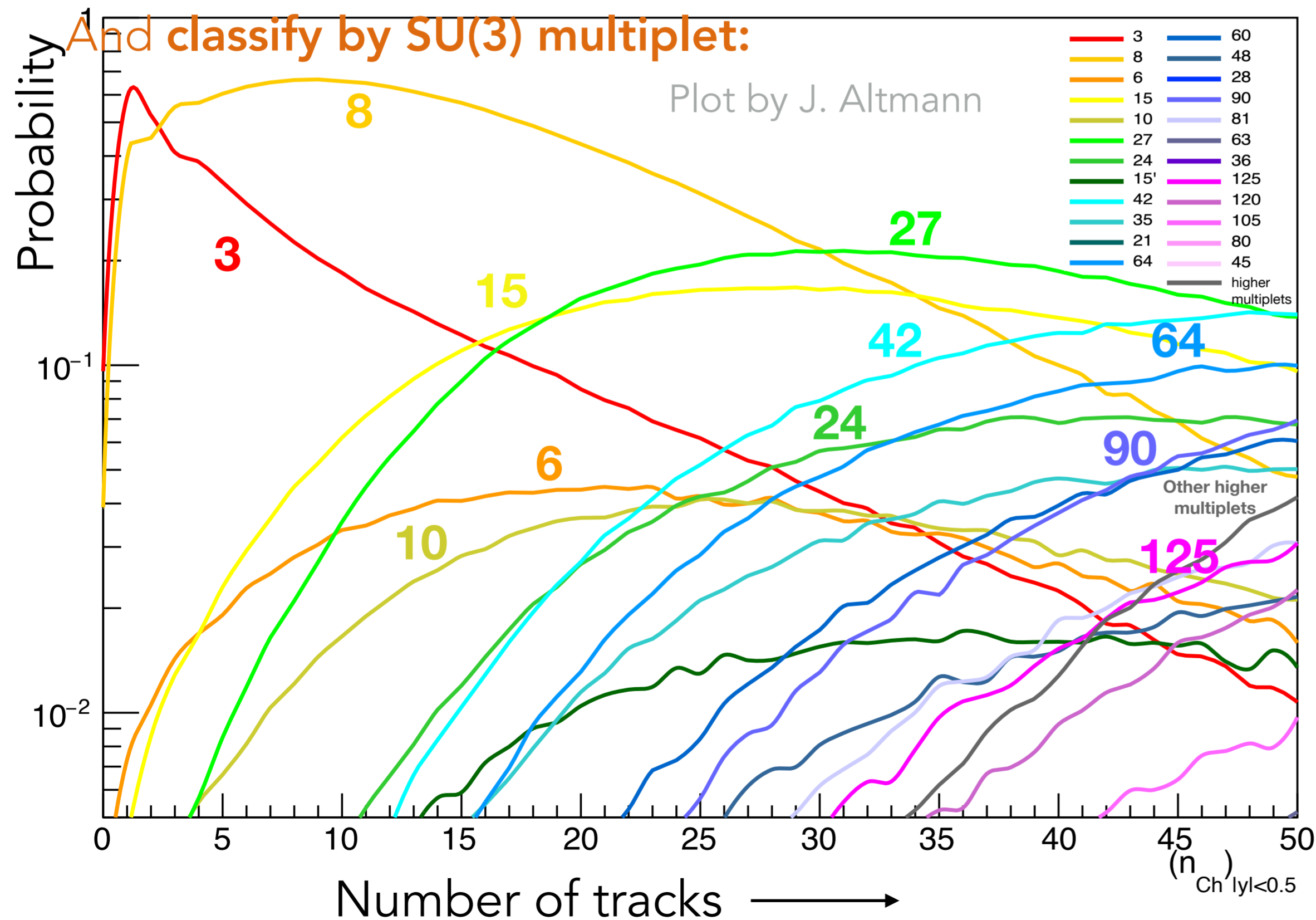
**QCD CR** adds large amount of low- $p_T$  junction  $\Lambda_b$  and  $\bar{\Lambda}_b$ , in equal amounts. Dilutes asymmetry!



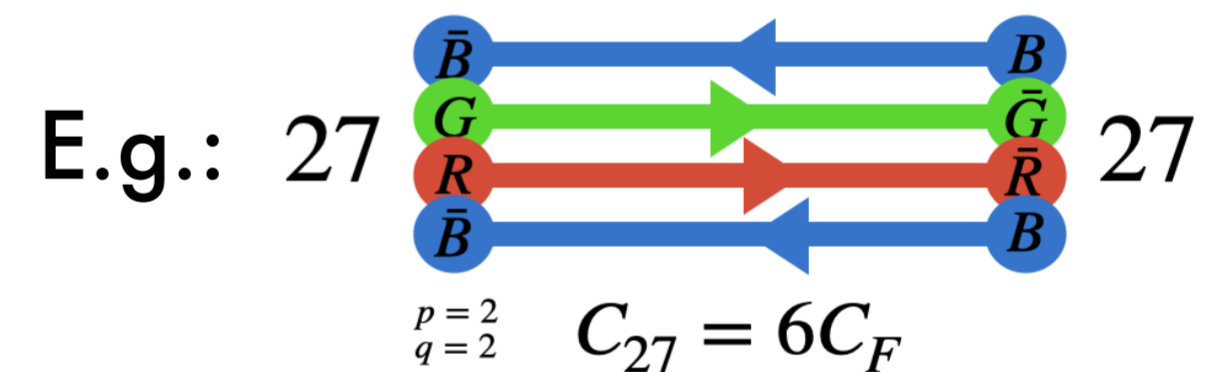
# Non-Linear String Dynamics?

MPI  $\implies$  **lots** of coloured partons scattered into the final states

Count # of (oriented) flux lines crossing  $y = 0$  in pp collisions (according to PYTHIA)



Confining fields may be reaching **higher effective representations** than simple quark-antiquark (3) ones.



Two approaches in PYTHIA:

- 1) Colour Ropes (Lund)
- 2) Close-Packing (Monash)